

MAXIM INTEGRATED PRODUCTS INC

Form 3

November 17, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Preeshl Bryan

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

11/09/2016

3. Issuer Name and Ticker or Trading Symbol

MAXIM INTEGRATED PRODUCTS INC [MXIM]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

SENIOR VICE PRESIDENT

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person

160 RIO ROBLES

(Street)

SAN JOSE,Â CAÂ 95134

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

36,082 ⁽¹⁾

D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)Date Exercisable
DateExpiration
Date3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title

Amount or
Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)
or Indirect6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Non-Qualified Stock Options (right to buy)	11/15/2015 ⁽²⁾	09/06/2018	Common Stock	21,852	\$ 22.28	D	Â
Non-Qualified Stock Options (right to buy)	Â ⁽³⁾	09/04/2019	Common Stock	20,736	\$ 27.3	D	Â
Non-Qualified Stock Options (right to buy)	Â ⁽⁴⁾	09/03/2020	Common Stock	17,744	\$ 28.16	D	Â
Performance Shares	Â ⁽⁵⁾	08/15/2018	Common Stock	5,004	\$ 0	D	Â
Performance Shares	Â ⁽⁵⁾	08/15/2019	Common Stock	9,316	\$ 0	D	Â
Performance Shares	Â ⁽⁵⁾	08/15/2020	Common Stock	11,200	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Preeshl Bryan 160 RIO ROBLES SAN JOSE,Â CAAÂ 95134	Â	Â	Â SENIOR VICE PRESIDENT	Â

Signatures

BY MARK CASPER FOR BRYAN
PREESHL 11/17/2016

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Represents unvested Restricted Stock Units and Common Stock. Excludes .808 fractional shares as a result of reinvestment of dividends.
- (2) Date when shares became fully exercisable.
- (3) 20,736 Non-Qualified Stock Options vesting quarterly from 02/15/2014 to 11/15/2016 subject to the individual's continuous status as an employee through such dates
- (4) 17,744 Non-Qualified Stock Options vesting quarterly from 02/15/2017 to 11/15/2017 subject to the individual's continuous status as an employee through such dates
- (5) Represents the target number of Performance Shares that will be earned, if at all, based on the achievement of certain pre-established performance goals. Each Performance Share Unit Represents a contingent right to receive one share of MXIM common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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