

MAXIM INTEGRATED PRODUCTS INC

Form 3

November 17, 2016

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Stratakos Anthony

(Last) (First) (Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

11/09/2016

3. Issuer Name **and** Ticker or Trading Symbol

MAXIM INTEGRATED PRODUCTS INC [MXIM]

4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)SENIOR VICE PRESIDENT,
CTO6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

160 RIO ROBLES

(Street)

SAN JOSE, CA 95134

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

83,607 ⁽¹⁾

D A

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)Date Expiration
Exercisable Date3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)Title Amount or
Number of4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Non-Qualified Stock Options (right to buy)	Â (2)	11/05/2020	Common Stock	46,404	\$ 29.19	D	Â
Performance Shares	Â (3)	12/31/2017	Common Stock	85,000	\$ 0	D	Â
Performance Shares	Â (3)	08/15/2018	Common Stock	4,124	\$ 0	D	Â
Performance Shares	Â (3)	08/15/2019	Common Stock	14,492	\$ 0	D	Â
Performance Shares	Â (3)	08/15/2020	Common Stock	16,800	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Stratakos Anthony 160 RIO ROBLES SAN JOSE,Â CAÂ 95134	Â	Â	Â SENIOR VICE PRESIDENT, CTO	Â

Signatures

BY MARK CASPER FOR ANTHONY STRATAKOS

11/17/2016

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents unvested Restricted Stock Units and Common Stock. Excludes .373 fractional share as a result of reinvestment of dividends.

(2) 46,404 Non-Qualified Stock Options vesting quarterly from 2/15/2017 to 11/15/2017 subject to the individual's continuous status as an employee such dates

(3) Represents the target number of Performance Shares that will be earned, if at all, based on the achievement of certain pre-established performance goals. Each Performance Share Unit represents a contingent right to receive one share of MXIM common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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