Edgar Filing: HARVARD BIOSCIENCE INC - Form 4

HARVARD I Form 4 May 26, 2016	BIOSCIENCE IN	С										
FORM	4									PROVAL		
Washington, D.C. 20549						COMMISSION	OMB Number:	3235-0287				
Check this box if no longer									Expires:	January 31, 2005		
subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSH Section 16. SECURITIES					NERSHIP OF	Estimated average burden hours per						
Form 4 or Form 5		uant to Cas	ation 16	(a) of the	Sagurit	as E	rahana	A at of 1024	response	response 0.5		
obligation may contin <i>See</i> Instruct 1(b).	s Section 17(a) of the Pul	blic Uti		ing Com	pany	Act of	ge Act of 1934, f 1935 or Sectio 40	n			
(Print or Type R	esponses)											
HARTE NEAL J Symbol			r Name and Ticker or Trading ARD BIOSCIENCE INC				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
[HBIO]												
			of Earliest Transaction n/Day/Year) /2016				X_ Director 10% Owner Officer (give title Other (specify below) below)					
				endment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(Check				
								Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
	ER, MA 01090							Person				
(City)	(State) (2	Zip)	Table	I - Non-Do	erivative S	Securi	ities Acc	quired, Disposed of	f, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution I any (Month/Day	Date, if		on(A) or Di (D) (Instr. 3,	spose 4 and (A) or	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common				Code V	Amount	(D)	Price \$					
Stock	05/25/2016			М	6,589	А	\$ 3.01	106,399 <u>(1)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	Transaction f Derivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. D S (I
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock option (right to buy)	\$ 3.01	05/25/2016		М		6,589 (2)	05/25/2009	05/25/2016	Common Stock, par value \$0.01 per share	6,589	

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Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
HARTE NEAL J UNIT # 1 83 CHURCH STREET WINCHESTER, MA 01890	Х						
Signatures							
/s/ Chad Porter, by power of attorney	05/26/2016						

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes (a) a deferred stock award of 14,400 restricted stock units which fully vest on the earlier of (i) the date of the Issuer's next

- (1) Annual Meeting of Stockholders after June 4, 2015, immediately prior to the commencement of such meeting, and (ii) June 4, 2016; (b) a deferred stock award of 10,800 restricted stock units which vest quarterly in equal installments on June 30, 2016, September 30, 2016 and December 31, 2016; and (c) 81,199 shares of common stock held by the Reporting Person.
- (2) Represent the exercise of stock options granted pursuant to the Issuer's 2000 Stock Option and Incentive Plan, as amended.

Remarks:

This form has been signed under power of attorney.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.