

NEKTAR THERAPEUTICS

Form 4

August 13, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Nicholson John

(Last) (First) (Middle)

C/O NEKTAR THERAPEUTICS, 455 MISSION BAY BOULEVARD SOUTH

(Street)

SAN FRANCISCO, CA 94158

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
NEKTAR THERAPEUTICS [NKTR]

3. Date of Earliest Transaction (Month/Day/Year)  
08/11/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
SVP & Chief Financial Officer

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock <sup>(1)</sup>	08/11/2015		M		154,908 A \$ 8.87 164,908	D	
Common Stock <sup>(1)</sup>	08/11/2015		S		154,908 D \$ 10.99 10,000 <sup>(2)</sup>	D	
Common Stock <sup>(1)</sup>	08/11/2015		M		95,000 A \$ 6.98 105,000	D	
Common Stock <sup>(1)</sup>	08/11/2015		S		95,000 D \$ 10,000 10.67	D	

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(3)

Common Stock <u>(1)</u>	08/11/2015	M	45,092	A	\$ 8.87	55,092	D
Common Stock <u>(1)</u>	08/12/2015	M	5,000	A	\$ 6.98	60,092	D
Common Stock <u>(1)</u>	08/12/2015	S	3,976	D	\$ 10.64	56,116	D
Common Stock <u>(1)</u>	08/12/2015	M	85,000	A	\$ 6.46	141,116	D
Common Stock <u>(1)</u>	08/12/2015	S	66,000	D	\$ 10.47	75,116	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount Number of Shares
Stock Option <u>(1)</u>	\$ 8.87	08/11/2015		M	154,908	10/02/2011	10/01/2015	Common Stock	154,908
Stock Option <u>(1)</u>	\$ 6.98	08/11/2015		M	95,000	12/21/2011	12/20/2015	Common Stock	95,000
Stock Option <u>(1)</u>	\$ 8.87	08/11/2015		M	45,092	10/02/2011	10/01/2015	Common Stock	45,092
Stock Option <u>(1)</u>	\$ 6.98	08/12/2015		M	5,000	12/21/2011	12/20/2015	Common Stock	5,000
Stock	\$ 6.46	08/12/2015		M	85,000	02/25/2012	02/24/2016	Common	85,000

