Adamas Pharmaceuticals Inc

Form 4 May 20, 2015

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading MDV IX LP Issuer Symbol Adamas Pharmaceuticals Inc [ADMS] (Last) (First) (Middle) 3. Date of Earliest Transaction

(Check all applicable) Director X\_\_ 10% Owner

Officer (give title Other (specify below)

C/O MOHR DAVIDOW VENTURES, 3000 SAND HILL ROAD, SUITE 3-290

(Street)

(State)

4. If Amendment, Date Original

(Month/Day/Year)

05/18/2015

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting Person

MENLO PARK, CA 94025

(City)

(Instr. 3)

1.Title of 2. Transaction Date 2A. Deemed 3. Security (Month/Day/Year) Execution Date, if

(Zip)

(Month/Day/Year)

4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of Securities Beneficially Owned Following

Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect (I) (Instr. 4)

Beneficial Ownership (Instr. 4)

(A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

> L.P., as nominee

MDV IX,

\$ Common 18.04 460,884 05/18/2015  $S^{(1)}$ 1.643 D I for MDV Stock (2) IX. L.P..

and MDV ENF (3)

**MDV VII** Common I 3,772,686 Stock LP, nom for MDV

### Edgar Filing: Adamas Pharmaceuticals Inc - Form 4

			VII LP, MDV VII Leaders' Fund LP, MDV ENF VII (A) LP, and MDV ENF VII (B) LP (4)
Common Stock	265,150	I	MDV VII, L.P. <u>(4)</u>
Common Stock	6,043	I	MDV ENF VII (A), L.P.
Common Stock	3,146	I	MDV ENF VII (B), L.P.
Common Stock	25,661	I	MDV VII Leaders' Fund, L.P.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	tionNumber	Expiration D	ate	Amour	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	ying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	) Derivativ	/e		Securit	ties	(Instr. 5)
	Derivative				Securitie	S		(Instr. :	3 and 4)	
	Security				Acquired	l				
					(A) or					
					Disposed	l				
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
									or	
						Date	Expiration		Number	
						Exercisable	Date		of	
				Code '	V (A) (D)				Shares	
				Code	(11) (D)			,	Silares	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

# **Reporting Owners**

Reporting Owner Name / Address		Relationships					
		Director	10% Owner	Officer	Other		
MDV IX LP C/O MOHR DAVIDOW V 3000 SAND HILL ROAD, MENLO PARK, CA 94025	SUITE 3-290		X				
Seventh MDV Partners, L.L 3000 SAND HILL ROAD SUITE 3-290 MENLO PARK, CA 94025			X				
Ninth MDV Partners, L.L.C 3000 SAND HILL ROAD SUITE 3-290 MENLO PARK, CA 94025			X				
FEIBER JONATHAN D 3000 SAND HILL ROAD SUITE 3-290 MENLO PARK, CA 94025			X				
SCHOENDORF NANCY J 3000 SAND HILL ROAD SUITE 3-290 MENLO PARK, CA 94025			X				
MDV ENF VII (A), L.P. 3000 SAND HILL ROAD SUITE 3-290 MENLO PARK, CA 94025			X				
MDV ENF VII (B), L.P. 3000 SAND HILL ROAD SUITE 3-290 MENLO PARK, CA 94025			X				
MDV VII Leaders' Fund, L. 3000 SAND HILL ROAD SUITE 3-290 MENLO PARK, CA 94025			X				
MDV VII LP C/O MOHR DAVIDOW V 3000 SAND HILL ROAD, MENLO PARK, CA 94025	SUITE 3-290		X				
Signatures							
Brett A. Teele  **Signature of Reporting Person	05/20/2015 Date						

Reporting Owners 3

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by MDV IX on December 15, 2014.
- Price reflected is the weighted-average sale price for shares sold. The range of the sale prices for the transaction was \$18.00 and \$18.075
- (2) per share. The Reporting Person undertakes to provide, upon request by the SEC staff, the Issuer, or security holder of the Issuer, full information regarding the number of shares sold at each separate price.
  - Ninth MDV Partners, L.L.C. is the general partner of MDV IX, L.P., as nominee for MDV IX, L.P. and MDV ENF IX, L.P. (collectively, "MDV IX"). William Ericson ("Ericson") and Jonathan Feiber ("Feiber") are Managing Members of Ninth MDV Partners, L.L.C. Ericson
- (3) and Feiber may be deemed to share voting and dispositive power over the shares held by MDV IX. Each Reporting Person disclaims beneficial ownership of the shares held by MDV IX except to the extent of any pecuniary interest therein. Ericson is a director of the Issuer and, accordingly, files separate Section 16 reports.
  - Seventh MDV Partners, L.L.C. is the general partner of (i) MDV VII, L.P., as nominee for MDV VII, L.P., MDV ENF VII(A), L.P., MDV ENF VII(B), L.P., and MDV VII Leaders' Fund, L.P., (ii) MDV VII, L.P., (iii) MDV ENF VII(A), L.P., (iv) MDV ENF VII(B), L.P., and (v) MDV VII Leaders' Fund, L.P. (collectively, "MDV VII"). Feiber and Nancy Schoendorf ("Schoendorf") are Managing
- (4) Members of Seventh MDV Partners, L.L.C. Feiber and Schoendorf may be deemed to share voting and dispositive power over the shares held by MDV VII. Each Reporting Person disclaims beneficial ownership of the shares held by MDV VII except to the extent of any pecuniary interest therein. Ericson, a general partner with Mohr Davidow Ventures, may be deemed to indirectly beneficially own the shares affiliated with MDV VII. Ericson is a director of the Issuer and, accordingly, files separate Section 16 reports.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.