

Viking Therapeutics, Inc.  
 Form 4  
 May 06, 2015

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Lian Brian

(Last) (First) (Middle)

C/O VIKING THERAPEUTICS, INC., 11119 NORTH TORREY PINES ROAD, SUITE 50

(Street)

SAN DIEGO, CA 92037

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
 Viking Therapeutics, Inc. [VKTX]

3. Date of Earliest Transaction (Month/Day/Year)  
 05/04/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 President & CEO

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock, par value \$0.00001 per share	05/04/2015		D		2,313,406 <sup>(1)</sup>	D	<u>(2)</u> 1,336,594 <sup>(3)</sup>
Common Stock, par value \$0.00001 per share	05/04/2015		A <sup>(4)</sup>		87,500	A	\$ 0 1,424,094
	05/04/2015		C		2,731	A	<u>(5)</u> 1,426,825

Edgar Filing: Viking Therapeutics, Inc. - Form 4

Common  
Stock, par  
value  
\$0.00001  
per share

Common  
Stock, par  
value  
\$0.00001  
per share

Common  
Stock, par  
value  
\$0.00001  
per share

05/04/2015

C

9,927

A

(5)

1,436,752

D

05/04/2015

F(6)

16,346

A

\$  
9.49

1,453,098

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Stock Option (Right to Buy)	\$ 9.49	05/04/2015		A	87,500	05/04/2015 <sup>(7)</sup>	05/04/2025	Common Stock
Convertible Note due 2015	\$ 6 <sup>(5)</sup>	05/04/2015		C	\$ 16,390	<sup>(5)</sup>	<sup>(5)</sup>	Common Stock
Convertible Note due 2015	\$ 6 <sup>(5)</sup>	05/04/2015		C	\$ 59,563	<sup>(5)</sup>	<sup>(5)</sup>	Common Stock

