INFINERA CORP Form 4 February 09, 2015

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

2. Issuer Name and Ticker or Trading

INFINERA CORP [INFN]

3. Date of Earliest Transaction

**OMB** 

**OMB APPROVAL** 

Number:

3235-0287

Expires:

January 31, 2005

0.5

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Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or	Type	Responses)
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1. Name and Address of Reporting Person \* Feller Brad

(Zip)

(First) (Middle)

C/O INFINERA CORPORATION, 140 CASPIAN **COURT** 

(Street)

(State)

Symbol

4. If Amendment, Date Original

Filed(Month/Day/Year)

(Month/Day/Year)

02/05/2015

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

10% Owner Director X\_ Officer (give title Other (specify below)

Chief Financial Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

#### SUNNYVALE, CA 94089

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securit or(A) or Di (Instr. 3,	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/05/2015		M	41,575	A	\$0	69,422 (1)	D	
Common Stock	02/05/2015		F	15,856	D	\$ 17.05	53,566 (1)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo Num Share
Incentive Stock Option (Right to Buy)	\$ 9.02					(2)	01/13/2021	Common Stock	24,
Non-Qualified Stock Option (Right to Buy)	\$ 9.02					(2)	01/13/2021	Common Stock	89
Restricted Stock Units	<u>(3)</u>	02/05/2015		M	41,575	<u>(4)</u>	<u>(4)</u>	Common Stock	166

# **Reporting Owners**

Reporting Owner Name / Address	Relationships

Director 10% Owner Officer Other

Feller Brad C/O INFINERA CORPORATION 140 CASPIAN COURT SUNNYVALE, CA 94089

Chief Financial Officer

# **Signatures**

/s/ Michael Post, by Power of Attorney 02/09/2015

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This includes 2,847 shares acquired under the Infinera Corporation (the "Company") 2007 Employee Stock Purchase Plan on August 15, 2014.
- (2) The option vests and becomes exercisable as to 1/4th of the underlying shares on January 13, 2015 and then 1/48th per month thereafter.
- (3) Each restricted stock unit ("RSU") represents a contingent right to receive one share of the Company's common stock.
- (4) The RSUs vest in four annual installments beginning on February 5, 2015.

#### **Remarks:**

Reporting Owners 2

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### Exhibit 24.1 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.