Horizon Pharma plc Form 4 September 22, 2014

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Carey Robert Issuer Symbol Horizon Pharma plc [HZNP] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner \_X\_\_ Officer (give title \_ \_ Other (specify C/O HORIZON PHARMA, 09/19/2014 below) INC., 520 EAST COOK ROAD, **EVP & Chief Business Officer SUITE 520** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting DEERFIELD, IL 60015

(City)	(State)	(Zip) Tabl	le I - Non-E	Derivative :	Securi	ities Ac	quired, Disposed	of, or Benefic	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	on(A) or Dis (D)	(Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/19/2014		D <u>(1)</u>	57,655	D	(1)	0	I	By Robert F. Carey III Trust dated 04/24/2001

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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### Edgar Filing: Horizon Pharma plc - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun Numbe Shares
Warrants to purchase common stock	\$ 4.308	09/19/2014		D <u>(1)</u>		6,913	03/02/2012	03/02/2017	Common Stock	6,91
Employee stock option (right to buy)	\$ 13.34	09/19/2014		D <u>(1)</u>		100,000	(3)	03/04/2024	Common Stock	100,0
Employee stock options (right to buy)	\$ 13.34	09/19/2014		D <u>(1)</u>		140,000	<u>(5)</u>	03/04/2024	Common Stock	140,0
Restricted stock units	<u>(6)</u>	09/19/2014		D <u>(1)</u>		124,100	<u>(7)</u>	<u>(6)</u>	Common Stock	124,1

# **Reporting Owners**

Reporting Owner Name / Address	Keiationsnips						
	Director	10% Owner	Officer	Other			

Carey Robert C/O HORIZON PHARMA, INC. 520 EAST COOK ROAD, SUITE 520 DEERFIELD, IL 60015

**EVP & Chief Business Officer** 

# **Signatures**

/s/ Robert J. De Vaere, Attorney-in-Fact 09/22/2014

\*\*Signature of Reporting Person Date

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Disposition in connection with the merger (the "Merger") contemplated by the Transaction Agreement and Plan of Merger among the Issuer, Vidara Therapeutics Holdings LLC, Horizon Pharma plc (formerly known as Vidara Therapeutics International Ltd.), Hamilton
- (1) Holdings (USA), Inc. and Hamilton Merger Sub, Inc. Pursuant to the Merger, the outstanding securities of the Issuer are being canceled and automatically converted into securities of Horizon Pharma plc. The Reporting Person's acquisition of securities of Horizon Pharma plc in the Merger will be reported on a separate Form 4.
- (2) In connection with the Merger, the warrant has been assumed and converted into a warrant to acquire the same number of ordinary shares of Horizon Pharma plc at the same exercise price and on substantially the same terms.
- (3) The shares subject to the stock option vest in 12 equal monthly installments following the grant date of 3/5/2014.
- (4) In connection with the Merger, the stock option has been assumed and converted into an option to acquire the same number of ordinary shares of Horizon Pharma plc at the same exercise price and on substantially the same terms.
- (5) 25% of the shares subject to the stock option vest on 6/23/2015, and the remaining shares vest in 36 equal monthly installments thereafter.
- (6) Each restricted stock unit represented a contingent right to receive one share of Horizon Pharma, Inc. common stock. Each restricted stock unit has been converted into a contingent right to receive one ordinary share of Horizon Pharma plc.
- (7) The restricted stock units vest in four equal annual installments.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.