

UNIVERSAL ELECTRONICS INC  
 Form 4  
 September 04, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**FIREHAMMER RICHARD A JR**

2. Issuer Name and Ticker or Trading Symbol  
**UNIVERSAL ELECTRONICS INC [UEIC]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
**8350 DEVON COURT**  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
**09/04/2014**

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
**Senior Vice President**

**CHAGRIN FALLS, OH 44023**

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/04/2014	09/04/2014	M	2,000 A	\$ 29.25 (1) 3,938	D	
Common Stock	09/04/2014	09/04/2014	S	2,000 D	\$ 55.4154 (2) 1,938	D	
Common Stock	09/04/2014	09/04/2014	M	14,250 A	\$ 20.085 (1) 16,188	D	
Common Stock	09/04/2014	09/04/2014	S	14,250 D	\$ 55.4154 (2) 1,938	D	
	09/04/2014	09/04/2014	M	9,100 A	11,038	D	

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Common Stock						\$ 19,245 <u>(1)</u>		
Common Stock	09/04/2014	09/04/2014	S	9,100	D	\$ 55.4154 <u>(2)</u>	1,938	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Rt to Buy)	\$ 29.25 <u>(1)</u>	09/04/2014	09/04/2014	M	2,000	10/06/2013	04/06/2021	Common Stock	2,000
Employee Stock Option (Rt to Buy)	\$ 20.085 <u>(1)</u>	09/04/2014	09/04/2014	M	14,250	05/08/2012	02/08/2022	Common Stock	14,250
Employee Stock Option (Rt to Buy)	\$ 19.245 <u>(1)</u>	09/04/2014	09/04/2014	M	9,100	05/13/2013	02/13/2023	Common Stock	9,100

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
FIREHAMMER RICHARD A JR 8350 DEVON COURT CHAGRIN FALLS, OH 44023			Senior Vice President	

## Signatures

/s/Richard A.  
Firehammer, Jr.

09/04/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Exercise Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.

(2) This is a weighted average of the price traded. The high was \$55.80 and the low was \$54.75.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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