

CHINDEX INTERNATIONAL INC  
Form 4  
July 22, 2014

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
JPMORGAN CHASE & CO

2. Issuer Name and Ticker or Trading Symbol  
CHINDEX INTERNATIONAL INC  
[CHDX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
270 PARK AVENUE

3. Date of Earliest Transaction (Month/Day/Year)  
02/12/2008

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  
 10% Owner  
\_\_\_\_ Other (specify below)

(Street)  
NEW YORK, NY 10017

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Class A Common Stock            | 04/16/2008                           | 04/16/2008   | S                              | 100 D \$ 42.08  | 1,795,975.8   | I  | See Footnote (1)                                      |
| Class A Common Stock            | 04/16/2008                           | 04/16/2008   | S                              | 100 D \$ 42.08  | 1,795,975.8   | I  | See Footnote (1)                                      |
| Class A Common Stock            | 04/16/2008                           | 04/16/2008   | S                              | 100 D \$ 42.08  | 1,795,975.8   | I  | See Footnote (1)                                      |
| Class A Common                  | 04/16/2008                           | 04/16/2008   | S                              | 100 D \$ 42.08  | 1,795,975.8   | I  | See Footnote  |

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|                            |            |            |   |     |   |            |             |   |  |                               |
|----------------------------|------------|------------|---|-----|---|------------|-------------|---|--|-------------------------------|
| Stock                      |            |            |   |     |   |            |             |   |  | <u>(1)</u>                    |
| Class A<br>Common<br>Stock | 04/16/2008 | 04/16/2008 | S | 100 | D | \$ 42.08   | 1,795,975.8 | I |  | See<br>Footnote<br><u>(1)</u> |
| Class A<br>Common<br>Stock | 04/16/2008 | 04/16/2008 | S | 100 | D | \$ 42.08   | 1,795,975.8 | I |  | See<br>Footnote<br><u>(1)</u> |
| Class A<br>Common<br>Stock | 04/16/2008 | 04/16/2008 | S | 100 | D | \$ 42.1    | 1,795,975.8 | I |  | See<br>Footnote<br><u>(1)</u> |
| Class A<br>Common<br>Stock | 04/16/2008 | 04/16/2008 | S | 100 | D | \$ 42.1    | 1,795,975.8 | I |  | See<br>Footnote<br><u>(1)</u> |
| Class A<br>Common<br>Stock | 04/16/2008 | 04/16/2008 | S | 100 | D | \$ 42.1    | 1,795,975.8 | I |  | See<br>Footnote<br><u>(1)</u> |
| Class A<br>Common<br>Stock | 04/16/2008 | 04/16/2008 | S | 100 | D | \$ 42.1    | 1,795,975.8 | I |  | See<br>Footnote<br><u>(1)</u> |
| Class A<br>Common<br>Stock | 04/16/2008 | 04/16/2008 | S | 100 | D | \$ 42.1199 | 1,795,975.8 | I |  | See<br>Footnote<br><u>(1)</u> |
| Class A<br>Common<br>Stock | 04/16/2008 | 04/16/2008 | S | 100 | D | \$ 42.13   | 1,795,975.8 | I |  | See<br>Footnote<br><u>(1)</u> |
| Class A<br>Common<br>Stock | 04/16/2008 | 04/16/2008 | S | 114 | D | \$ 40.84   | 1,795,975.8 | I |  | See<br>Footnote<br><u>(1)</u> |
| Class A<br>Common<br>Stock | 04/16/2008 | 04/16/2008 | S | 123 | D | \$ 41.74   | 1,795,975.8 | I |  | See<br>Footnote<br><u>(1)</u> |
| Class A<br>Common<br>Stock | 04/16/2008 | 04/16/2008 | S | 125 | D | \$ 42.24   | 1,795,975.8 | I |  | See<br>Footnote<br><u>(1)</u> |
| Class A<br>Common<br>Stock | 04/16/2008 | 04/16/2008 | S | 137 | D | \$ 40.87   | 1,795,975.8 | I |  | See<br>Footnote<br><u>(1)</u> |
| Class A<br>Common<br>Stock | 04/16/2008 | 04/16/2008 | S | 162 | D | \$ 41.78   | 1,795,975.8 | I |  | See<br>Footnote<br><u>(1)</u> |
| Class A<br>Common<br>Stock | 04/16/2008 | 04/16/2008 | S | 200 | D | \$ 41      | 1,795,975.8 | I |  | See<br>Footnote<br><u>(1)</u> |

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|                            |            |            |   |        |   |               |             |   |                        |
|----------------------------|------------|------------|---|--------|---|---------------|-------------|---|------------------------|
| Class A<br>Common<br>Stock | 04/16/2008 | 04/16/2008 | S | 200    | D | \$ 41         | 1,795,975.8 | I | See<br>Footnote<br>(1) |
| Class A<br>Common<br>Stock | 04/16/2008 | 04/16/2008 | S | 200    | D | \$ 42.08      | 1,795,975.8 | I | See<br>Footnote<br>(1) |
| Class A<br>Common<br>Stock | 09/19/2008 | 09/24/2008 | P | 100    | A | \$ 12.73      | 2,653,326   | I | See<br>Footnote<br>(1) |
| Class A<br>Common<br>Stock | 09/19/2008 | 09/24/2008 | P | 100    | A | \$ 12.82      | 2,653,326   | I | See<br>Footnote<br>(1) |
| Class A<br>Common<br>Stock | 09/19/2008 | 09/24/2008 | P | 100    | A | \$ 12.75      | 2,653,326   | I | See<br>Footnote<br>(1) |
| Class A<br>Common<br>Stock | 09/19/2008 | 09/24/2008 | P | 100    | A | \$ 12.78      | 2,653,326   | I | See<br>Footnote<br>(1) |
| Class A<br>Common<br>Stock | 09/19/2008 | 09/24/2008 | S | 100    | D | \$ 13.55      | 2,653,326   | I | See<br>Footnote<br>(1) |
| Class A<br>Common<br>Stock | 09/22/2008 | 09/25/2008 | S | 10,900 | D | \$<br>12.1589 | 2,653,026   | I | See<br>Footnote<br>(1) |
| Class A<br>Common<br>Stock | 09/22/2008 | 09/25/2008 | S | 100    | D | \$ 12.11      | 2,653,026   | I | See<br>Footnote<br>(1) |
| Class A<br>Common<br>Stock | 09/22/2008 | 09/25/2008 | S | 100    | D | \$ 12.1       | 2,653,026   | I | See<br>Footnote<br>(1) |
| Class A<br>Common<br>Stock | 09/22/2008 | 09/25/2008 | S | 1,500  | D | \$ 11.89      | 2,653,026   | I | See<br>Footnote<br>(1) |
| Class A<br>Common<br>Stock | 09/23/2008 | 09/26/2008 | P | 50     | A | \$ 12.13      | 2,653,026   | I | See<br>Footnote<br>(1) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Transaction (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

## Reporting Owners

| Reporting Owner Name / Address                               | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| JPMORGAN CHASE & CO<br>270 PARK AVENUE<br>NEW YORK, NY 10017 |               | X         |         |       |

## Signatures

Anthony Horan                      07/22/2014  
 \_\_Signature of                      Date  
 Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All reported transactions were executed by wholly-owned subsidiaries of the Reporting Person.

### Remarks:

The Reporting Person will send the Issuer a check for the amount of profit subject to short-swing recovery as a result of the rep

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