

INFORMATICA CORP
Form 3
May 30, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|---|---|---|---|---|
| <p>1. Name and Address of Reporting Person *</p> <p>Stoner Jo</p> <p>(Last) (First) (Middle)</p> <p>C/O INFORMATICA CORPORATION, 2100 SEAPORT BOULEVARD</p> <p>(Street)</p> <p>REDWOOD CITY, CA 94063</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>05/20/2014</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>INFORMATICA CORP [INFA]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) EVP, Chief HR Officer</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|---|---|---|---|---|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 34,505 ⁽¹⁾ | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|---|---|--|
|---|---|--|---|---|--|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|--|------------------|-----------------|--------------|----------------------------|----------|---------------------------------------|---|
| Non-qualified stock option (right to buy) | Â (2) | 05/01/2015 | Common Stock | 2,750 | \$ 16.15 | D | Â |
| Non-qualified stock option (right to buy) | Â (2) | 11/30/2016 | Common Stock | 15,625 | \$ 22.45 | D | Â |
| Non-qualified stock option (right to buy) | 03/01/2011(3) | 02/01/2018 | Common Stock | 20,000 | \$ 46.63 | D | Â |
| Non-qualified stock option (right to buy) | 03/01/2012(3) | 02/01/2019 | Common Stock | 20,000 | \$ 44.06 | D | Â |
| Non-qualified stock option (right to buy) | 09/01/2012(3) | 08/01/2019 | Common Stock | 10,000 | \$ 29.28 | D | Â |
| Non-qualified stock option (right to buy) | 03/01/2013(3) | 02/01/2020 | Common Stock | 25,000 | \$ 37.43 | D | Â |
| Non-qualified stock option (right to buy) | 03/03/2014(3) | 02/01/2021 | Common Stock | 13,350 | \$ 38.25 | D | Â |
| Performance-based restricted stock units (4) | 02/01/2014(5) | Â (6) | Common Stock | 1,407 | \$ 0 | D | Â |
| Performance-based restricted stock units (4) | 02/01/2014(7) | Â (6) | Common Stock | 4,219 | \$ 0 | D | Â |
| Performance-based restricted stock units (4) | 02/01/2015(8) | Â (6) | Common Stock | 800 | \$ 0 | D | Â |
| Performance-based restricted stock units (4) | 02/01/2015(9) | Â (6) | Common Stock | 1,200 | \$ 0 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Stoner Jo C/O INFORMATICA CORPORATION 2100 SEAPORT BOULEVARD REDWOOD CITY, CA 94063 | Â | Â | Â EVP, Chief HR Officer | Â |

Signatures

/s/ Edwin White, Attorney-in-fact for Jo Stoner

05/30/2014

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Certain of these securities are restricted stock units. Each unit represents the Reporting Person's right to receive one share of Common Stock, subject to the applicable vesting schedule.
- (2) All options subject to this grant are fully vested and exercisable.
- (3) These options vest at the rate of 1/48th of the shares subject to such options per month with the first month vesting as of the date listed.
- (4) These securities are performance-based restricted stock units. Each unit represents the Reporting Person's right to receive one share of common stock upon achievement of certain performance criteria.

(5) This performance-based restricted stock unit ("PSRU") award is eligible to vest upon achievement of certain performance criteria based on non-GAAP operating income. The actual PRSU award earned will vest as to 1/4th of the shares on each anniversary of the original grant date. The number of shares reflected is at the 100% award amount. No PRSUs will be earned below the minimum threshold of performance. At or above the minimum threshold, the actual number of shares may range from 50% to 125% of the 100% award amount.

- (6) Expiration is not applicable for performance-based restricted stock.

(7) This performance-based restricted stock unit ("PSRU") award is eligible to vest upon achievement of certain performance criteria based on total revenues. The actual PRSU award earned will vest as to 1/4th of the shares on each anniversary of the original grant date. The number of shares reflected is at the 100% award amount. No PRSUs will be earned below the minimum threshold of performance. At or above the minimum threshold, the actual number of shares may range from 50% to 125% of the 100% award amount.

(8) This performance-based restricted stock unit ("PSRU") award is eligible to vest upon achievement of certain performance criteria based on non-GAAP operating income. The actual PRSU award earned will vest as to 1/4th of the shares on each anniversary of the original grant date. The number of shares reflected is at the target award amount. No PRSUs will be earned below the target level of performance. At or above the target level of performance, the actual number of shares may range from 70% to 125% of the target award amount.

(9) This performance-based restricted stock unit ("PSRU") award is eligible to vest upon achievement of certain performance criteria based on total revenues. The actual PRSU award earned will vest as to 1/4th of the shares on each anniversary of the original grant date. The number of shares reflected is at the target award amount. No PRSUs will be earned below the target level of performance. At or above the target level of performance, the actual number of shares may range from 70% to 125% of the target award amount.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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