#### SVB FINANCIAL GROUP

Form 4 April 30, 2014

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

**OMB** Number:

3235-0287

Expires:

Form filed by More than One Reporting

January 31, 2005

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Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Cadieux Marc C Issuer Symbol SVB FINANCIAL GROUP [SIVB] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify SVB FINANCIAL GROUP, 3003 04/27/2014 below) TASMAN DRIVE Chief Credit Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person

### SANTA CLARA, CA 95054

(City)	(State)	(Zip) Tal	ble I - Non	-Derivative Sec	curities Acc	quired, Disposed	of, or Benefi	cially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities A on(A) or Dispose (Instr. 3, 4 and (A) or Amount (D)	ed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/27/2014		M	282 (1) A	<u>(2)</u>	5,539	D	
Common Stock	04/27/2014		F	106 (3) D	\$ 108.01	5,433	D	
Common Stock						6,074 (4)	I	By 401(k)/ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Person

(9-02)

### Edgar Filing: SVB FINANCIAL GROUP - Form 4

#### number.

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	saction f e Derivative r. 8) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,		Expiration Date		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
Restricted			Code V	and 5) (A) (E	)) 22	cisable	Expiration Date	Title Common	Amount or Number of Shares
Stock Unit	\$ 0	04/27/2014	M	(1	11/1/	27/2012	04/27/2018	Stock	282

# **Reporting Owners**

10% Owner Officer Other Director

Cadieux Marc C

SVB FINANCIAL GROUP

Chief Credit Officer 3003 TASMAN DRIVE

SANTA CLARA, CA 95054

## **Signatures**

Denise West, Attorney-in-Fact for Marc Cadieux

04/30/2014

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Previously reported restricted stock units vested on 4/27/2014.
- (2) Each restricted stock unit represents a contingent right to receive one share of the Issuer's common Stock.
- (3) Shares withheld by Issuer for payment of tax liability incurred upon vesting of restricted stock units.
- (4) The information in this report is based on 401(k)/ESOP Plan statement dated as of March 31, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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