Edgar Filing: UNITEDHEALTH GROUP INC - Form 4

| Form 4 | ALTH GROUP | NC | | | | | | | | | | |
|--|--------------------|---|------------------------|--|-----------------------------------|--|---|---|--|---------------------|--|--|
| October 03, 2 | ГЛ | | | | | | | | | PPROVAL | | |
| | UNITED | STATES | | | | | NGE | COMMISSION | OMB Number: | 3235-0287 | | |
| Check thi if no long | or | Washington, D.C. 20549 | | | | | | | Expires: | January 31, 2005 | | |
| subject to Section 1 Form 4 or | 6. SIAIEN | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | Estimated burden hou response | average Jrs per | | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | | | |
| (Print or Type F | Responses) | | | | | | | | | | | |
| LEATHERDALE DOUGLAS W Symbol | | | | Name and Ticker or Trading | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | | | [UNITEL | UNITEDHEALTH GROUP INC [UNH] | | | | | (Check all applicable) | | | |
| | | | f Earliest Transaction | | | | X_ Director 10% Owner Officer (give title Other (specify | | | | | |
| (Month/Day/Year) | | | | | | | | | | | | |
| | | | | endment, Date Original onth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| (City) | | (Zip) | Table | I Nor D | | C | 4 | Person | f an Danafiaia | ller Oran ed | | |
| 1.Title of | 2. Transaction Dat | | | 3. | 4. Secur | | lues Ac | <pre>quired, Disposed o 5. Amount of</pre> | 6. Ownership | | | |
| Security (Instr. 3) | (Month/Day/Year) |) Executi any | | Transactio Code (Instr. 8) | onAcquire Dispose (Instr. 3 | d (A) d d of (E 4 and (A) or |)) | Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | Form: Direct (D) or Indirect (I) (Instr. 4) | | | |
| Common | 10/01/0010 | | | | Amount | | Price | ``´´ | D | | | |
| Stock | 10/01/2013 | | | А | 517 <u>(1)</u> | А | \$0 | 913,453 | D | | | |
| Common Stock | | | | | | | | 2,200 | Ι | by Trust 1 | | |
| Common Stock | | | | | | | | 2,200 | Ι | by Trust 2 (2) | | |
| Common Stock | | | | | | | | 2,200 | Ι | by Trust 3 (2) | | |
| Common Stock | | | | | | | | 9,200 | I | by Trust 4 (3) | | |

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| Common Stock | 9,200 | Ι | by Trust 5 (3) |
|-----------------|-------|---|------------------|
| Common Stock | 9,200 | Ι | by Trust 6 (3) |
| Common Stock | 9,200 | Ι | by Trust 7 (3) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, | umber Expiration Date (Month/Day/Year) erivative ecurities cquired () or isposed (D) | | 7. Titl Amou Under Secur (Instr. | int of lying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|---|---|---|--|--|---|--------------------|--|--|---|--|
| | | | Code V | 4, and 5) (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | | Relationsh | | |
|--|------------|------------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| LEATHERDALE DOUGLAS W C/O UNITEDHEALTH GROUP 9900 BREN ROAD EAST MINNETONKA, MN 55343 | Х | | | |
| Signatures | | | | |
| Amy L. Schneider, Attorney-in-Fa Leatherdale | 10/03/2013 | | | |

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents deferred stock units that are granted as regular quarterly compensation for service as a director of UnitedHealth Group. Deferred stock units are immediately vested, but must be retained by the director until the director's completion of service on the Board.

These shares are held in an irrevocable trust for the benefit of the reporting person's grandchild. The reporting person disclaims beneficial
 (2) ownership of the shares held by his grandchild's irrevocable trust, and this report should not be deemed an admission that the reporting person is the beneficial owner of the shares held by the irrevocable trust for the purposes of Section 16 or for any other purpose.

These shares are held in an irrevocable trust for the benefit of the reporting person's child. The reporting person disclaims beneficial(3) ownership of the shares held by his child's irrevocable trust, and this report should not be deemed an admission that the reporting person is the beneficial owner of the shares held by the irrevocable trust for the purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.