Husain Kamran F Form 4 May 01, 2013

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instruction **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Husain Kamran F

> (Middle) (First)

**SVB FINANCIAL GROUP, 3005** 

TASMAN DRIVE

(Street)

2. Issuer Name and Ticker or Trading Symbol

SVB FINANCIAL GROUP [SIVB]

3. Date of Earliest Transaction (Month/Day/Year) 04/27/2013

4. If Amendment, Date Original

Filed(Month/Day/Year)

**OMB APPROVAL** 

OMB Number:

3235-0287

2005

January 31, Expires:

Estimated average burden hours per

response...

0.5

]	(Check all applicable)							
	Director 10% Owner Softier (give title Other (specify below) below) Chief Accounting Officer							
	6. Individual or Joint/Group Filing(Check							
	Applicable Line) _X_ Form filed by One Reporting Person							

Form filed by More than One Reporting

5. Relationship of Reporting Person(s) to

Issuer

Person

#### SANTA CLARA, CA 95054

(City)	(State)	te) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Ow							ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			d of (D)	5. Amount of Securities Form: Direct (D) or Owned Indirect (T) Following (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	04/27/2013		M	235	A	\$ 0	1,138	D	
Common Stock	04/27/2013		M	275	A	\$ 0	1,413	D	
Common Stock	04/27/2013		F	89 (1)	D	\$ 71.06	1,324	D	
Common Stock	04/27/2013		F	104 (1)	D	\$ 71.06	1,220	D	
Common Stock	04/28/2013		M	210	A	\$ 0	1,430	D	

### Edgar Filing: Husain Kamran F - Form 4

 $79 \frac{(1)}{1}$  D  $^{\$}$  1,351 Common 04/28/2013 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction of Code Dec (Instr. 8) Sec (A) Dis of (		posed D) tr. 3, 4,	6. Date Exerci Expiration Dat (Month/Day/Y	te	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	' (A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	\$ 0	04/27/2013		M		235 (2)	04/27/2011	04/27/2017	Common Stock	235
Restricted Stock Unit	\$ 0	04/27/2013		M		275 (2)	04/27/2012	04/27/2018	Common Stock	275
Restricted Stock Unit	\$ 0	04/28/2013		M		210 (3)	04/28/2010	04/28/2016	Common Stock	210

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Husain Kamran F

SVB FINANCIAL GROUP 3005 TASMAN DRIVE

Chief Accounting Officer

SANTA CLARA, CA 95054

**Signatures** 

Annie Loo, Attorney-in-Fact for Kamran

Husain

05/01/2013

\*\*Signature of Reporting Person

Date

2 Reporting Owners

Edgar Filing: Husain Kamran F - Form 4

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld by Issuer for payment of tax liability incurred upon vesting of restricted stock units.
- (2) A tranche of previously reported restricted stock units vested on 4/27/2013.
- (3) A tranche of previously reported restricted stock units vested on 4/28/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.