Edgar Filing: POTTS DAVID - Form 4

POTTS DAVID Form 4 December 05, 2011 FORM 4 December 05, 2017 FORM 4 Check this box if no longer subject to Subject to Subject to Subject to Subject to Subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).											
(Print or Type R 1. Name and Ad POTTS DAN (Last)	ddress of Reporting Person <u>*</u>	Symbol ARRIS GF	ARRIS GROUP INC [ARRS]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
3871 LAKE	(Month/Day/	3. Date of Earliest Transaction (Month/Day/Year) 12/01/2011					Director 10% Owner X Officer (give title Other (specify below) CFO/Executive Vice President				
SUWANEE,	(Street) GA 30024		4. If Amendment, Date Original Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 				
(City)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							ly Owned			
1.Title of Security (Instr. 3)	2. Transaction Date 2A. E (Month/Day/Year) Exect any (Mon	tion Date, if T	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common			Code V	Amount	(D)	Price \$					
stock	12/01/2011		М	40,000	А	\$ 8.12	95,407	D			
Common stock (1)	12/01/2011		S	37,184	D	\$ 10.6	58,223	D			
Restricted stock (2)							16,126	D			
Restricted stock (3)							23,124	D			
Restricted stock (4)							30,833	D			

Restricted stock (5)	22,665	D
Restricted stock (6)	40,294	D
Restricted stock (7)	28,170	D
Restricted stock ⁽⁸⁾	56,340	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day	ate	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock option (1)	\$ 8.12	12/01/2011		М	40,000) (9)	01/22/2012	Common stock	40,000

Reporting Owners

Reporting Owner Name / Addr	ess	Relationships							
	Director	10% Owner	Officer	Other					
POTTS DAVID 3871 LAKEFIELD DRIVE SUWANEE, GA 30024			CFO/Executive Vice President						
Signatures									
/s/ David B Potts	12/05/2011								
**Signature of	Date								

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock options were exercised pursuant to an existing Rule 10b5-1 Sales Plan
- (2) Represents a restricted stock grant which vests annually in fourths on the anniversary of the grant date, which was March 28, 2008.
- (3) Represents a restricted stock grant which vests annually in fourths beginning on March 30, 2010(the "vesting date") and on each successive anniversary of the vesting date.

Represents a restricted stock grant which is in part performance based. 30,833 shares of the grant are guaranteed and will vest over a two
(4) year period. 0 to 30,833 of the grant is subject to the Company's shareholder return as compared to the NASDAQ Composite shareholder return over the three year period ended 12/31/2011 and will vest on 1/31/2012.

(5) Represents a restricted stock grant which vests annually in fourths beginning on March 25, 2011 (the "vesting date") and on each successive anniversary of the vesting dates.

Represents a restricted stock grant which is in part performance-based. 10,073 shares of the grant are guaranteed and will vest on
(6) 3/25/2011. 0 to 40,294 of the grant are subject to the Company's shareholder return as compared to the NASDAQ Composite shareholder return over the three year period ended 12/31/2012 and will vest on 1/31/2013.

- (7) Represents a restricted stock grant which vests annually in fourths beginning on March 31, 2012(the "vesting date") and on each successive anniversary of the vesting dates.
- (8) Represents a restricted stock grant which is performance-based. 0 to 56,340 of the grant are subject to the Company's shareholder return as compared to the NASDAQ Composite shareholder return over the three year period ended 12/31/2013 and will vest on 1/31/2014.
- (9) Stock options are currently fully exercisable

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.