### Edgar Filing: RBS INVESTMENT MANAGEMENT LLC - Form 4

#### RBS INVESTMENT MANAGEMENT LLC

Form 4

October 31, 2011

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* LAMPERT EDWARD S

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**AUTOZONE INC [AZO]** 

(Check all applicable)

(Last)

(City)

(First) (Middle) 3. Date of Earliest Transaction

10% Owner

200 GREENWICH AVENUE

10/31/2011

(Month/Day/Year)

Filed(Month/Day/Year)

Officer (give title below)

Director

Other (specify

(Street)

(State)

4. If Amendment, Date Original

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

GREENWICH, CT 06830

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) anv (Month/Day/Year)

4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of 6. Ownership 7. Nature of Form: Direct Indirect Securities Beneficially (D) or Beneficial Owned Indirect (I) Ownership (Instr. 4) Following (Instr. 4) Reported

(A) or Amount

Transaction(s)

(D) Price

(Instr. 3 and 4)

Common

per share

Stock, par 10/31/2011 value \$0.01

(Zip)

V 15.335 D

\$0

2,340,665

 $D^{(1)(2)}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. tionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	S	ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)
				Code V	/ (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
LAMPERT EDWARD S 200 GREENWICH AVENUE GREENWICH, CT 06830		X				
ESL PARTNERS, L.P. 200 GREENWICH AVENUE GREENWICH, CT 06830		X				
ESL INVESTORS LLC 200 GREENWICH AVENUE GREENWICH, CT 06830		X				
ESL INSTITUTIONAL PARTNERS LP 200 GREENWICH AVENUE GREENWICH, CT 06830		X				
ESL INVESTMENTS INC 200 GREENWICH AVENUE GREENWICH, CT 06830		X				
RBS INVESTMENT MANAGEMENT LLC 200 GREENWICH AVENUE GREENWICH, CT 06830		X				
RBS PARTNERS L P /CT 200 GREENWICH AVENUE GREENWICH, CT 06830		X				

## **Signatures**

/s/ Edward S. Lampert 10/31/2011

\*\*Signature of Reporting Person Date

Reporting Owners 2

### Edgar Filing: RBS INVESTMENT MANAGEMENT LLC - Form 4

ESL PARTNERS, L.P., By: RBS Partners, L.P., as its general partner, By: ESL Investments,	10/21/2011
Inc., as its general partner, By: /s/ Adrian J. Maizey, Chief Financial Officer	10/31/2011
**Signature of Reporting Person	Date
ESL INVESTORS, L.L.C., By: RBS Partners, L.P., as its manager, By: ESL Investments, Inc., as its general partner, By: /s/ Adrian J. Maizey, Chief Financial Officer	10/31/2011
**Signature of Reporting Person	Date
ESL INSTITUTIONAL PARTNERS, L.P., By: RBS Investment Management, L.L.C., as its general partner, By: ESL Investments, Inc., as its manager, By: /s/ Adrian J. Maizey, Chief Financial Officer	10/31/2011
**Signature of Reporting Person	Date
ESL INVESTMENTS, INC., By: /s/ Adrian J. Maizey, Chief Financial Officer	10/31/2011
**Signature of Reporting Person	Date
RBS INVESTMENT MANAGEMENT, L.L.C., By: ESL Investments, Inc., as its manager, By: /s/ Adrian J. Maizey, Chief Financial Officer	10/31/2011

Date

10/31/2011

Date

# **Explanation of Responses:**

Maizey, Chief Financial Officer

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

\*\*Signature of Reporting Person

\*\*Signature of Reporting Person

RBS PARTNERS, L.P., By: ESL Investments, Inc., as its general partner, By: /s/ Adrian J.

- (1) These shares of common stock of AutoZone, Inc. (the "Issuer"), par value \$0.01 per share (each, a "Share") are held by Edward S. Lampert.
- This Form 4 is filed on behalf of Mr. Lampert, ESL Investments, Inc. ("Investments"), Institutional, RBS Partners, L.P. ("RBS"), RBS Investment Management, L.L.C. ("RBSIM"), Partners and Investors. RBS is the general partner of Partners and the managing member of Investors. RBSIM is the general partner of Institutional. Investments is the general partner of RBS and Acres and the manager of RBSIM. Mr. Lampert is the Chairman, Chief Executive Officer and Director of Investments.

#### **Remarks:**

Pursuant to Rule 16a-1(a)(2)(ii)(B) under the Securities Exchange Act of 1934, as amended (the "Act"), each of the Reporting Persons is, for purposes of Section 16 of the Act or otherwise, the beneficial owner of any securities reported herein in excess Page

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3