Himawan Jeff Form 4 August 04, 2011

## FORM 4

#### **OMB APPROVAL**

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005 Estimated average

Form 4 or Form 5 obligations STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

burden hours per response... 0.5

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Himawan Jeff

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

08/02/2011

HORIZON PHARMA, INC. [HZNP]

(Check all applicable)

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

\_X\_\_ Director \_X\_\_ 10% Owner \_\_Other (specify Officer (give title

C/O HORIZON PHARMA, INC., 1033 SKOKIE BLVD., SUITE

(Street)

355

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

NORTHBROOK	, II	L 6(	)062
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(City)	(State)	(Zip) Tabl	e I - Non-I	Derivative Sec	urities A	Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	(A)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	08/02/2011		Code V	Amount 1,431,467 (1)	. ,	Price (1)	(Instr. 3 and 4) 1,431,467	I	See FN (2)
Common Stock	08/02/2011		C	179,317 (1)	A	(1)	1,610,784	I	See FN (2)
Common Stock	08/02/2011		С	362,366 (3)	A	\$9	1,973,150	I	See FN (2)
Common Stock	08/02/2011		P	330,104	A	\$9	2,303,254	I	See FN (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

### Edgar Filing: Himawan Jeff - Form 4

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

S	Title of Derivative Security Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of Derivative TransactionSecurities Acquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title Under (Instr.	
		,						Date Exercisable	Expiration Date	Title
( I	Series A Convertible Preferred Stock	(1)	08/02/2011		Code V	(A)	(D) 1,431,467	<u>(4)</u>	<u>(4)</u>	Com Sto
( H	Series B Convertible Preferred Stock	(1)	08/02/2011		С		179,317	<u>(4)</u>	<u>(4)</u>	Com
I	Convertible Promissory Notes	\$ 9	08/02/2011		C		\$ 3,261,306.43	<u>(5)</u>	<u>(5)</u>	Com
I S I	Warrant to Purchase Series A Preferred Stock	\$ 5.201	08/02/2011		C(6)		67,970	<u>(7)</u>	10/31/2015 <u>(7)</u>	Serie Prefe Sto
I S	Warrant to Purchase Series A Preferred Stock	\$ 5.201	08/02/2011		C(6)		33,985	<u>(7)</u>	07/02/2016 <u>(7)</u>	Serie Prefe Sto
I S I	Warrant to Purchase Series A Preferred Stock	\$ 5.201	08/02/2011		C(6)		42,484	<u>(7)</u>	09/25/2016 <u>(7)</u>	Serie Prefe Sto
H	Warrant to Purchase Common Stock	\$ 12.35	08/02/2011		C(6)	28,630		<u>(7)</u>	10/31/2015(7)	Com Sto
		\$ 12.35	08/02/2011		C(6)	14,315		<u>(7)</u>	07/02/2016(7)	

Warrant to Com Purchase Sto Common Stock Warrant to Purchase 09/25/2016(7)

 $C^{(6)}$ 

17,895

(7)

Sto

# **Reporting Owners**

\$ 12.35

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Himawan Jeff C/O HORIZON PHARMA, INC. 1033 SKOKIE BLVD., SUITE 355 NORTHBROOK, IL 60062	X	X					

08/02/2011

# **Signatures**

Common

Stock

/s/ Jeff 08/04/2011 Himawan \*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Automatic conversion, in connection with the Issuer's initial public offering, of preferred stock into shares of common stock. Every 2.374 (1)shares of preferred stock converted into one share of common stock upon the closing of the Issuer's initial public offering.
- The securities are held directly by Essex Woodlands Health Ventures Fund VII, L.P. (the "Fund"). The Reporting Person is a manager of Essex Woodlands Health Ventures VII, L.L.C., which is the general partner of Essex Woodlands Health Ventures VII, L.P., the general partner of the Fund. The Reporting Person disclaims beneficial ownership of these securities, except to the extent of his pecuniary interest
- (3) Represents shares acquired upon conversion, in connection with the Issuer's initial public offering, of convertible promissory notes.
- These securities are preferred stock of the Issuer and did not have an expiration date. Prior to the Issuer's initial public offering of common stock, the securities were convertible at any time at the election of the holder. The securities automatically converted into shares of common stock upon the closing of the issuer's initial public offering of common stock.
- (5) Principal and accrued interest converted upon the Issuer's initial public offering of common stock, into shares of common stock.
- Automatic conversion, in connection with the Issuer's initial public offering, of warrants to purchase Series A Preferred Stock into (6) warrants to purchase Common Stock. The warrants converted at a rate of 2.374 shares of Series A Preferred Stock to 1 share of Common Stock.
- The warrants are immediately exercisable and shall terminate on the earlier of the expiration date referenced herein or the occurence of other specified corporate transactions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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