### Edgar Filing: MOODYS CORP /DE/ - Form 3

MOODYS CORP /DE/

Form 3

August 17, 2007

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

3235-0104

**OMB APPROVAL** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Number:

January 31, Expires: 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Clarkson Brian

(Last)

(First)

(Middle)

(Month/Day/Year)

08/07/2007

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

MOODYS CORP /DE/ [MCO]

7 WORLD TRADE

CENTER, Â 250 GREENWICH

STREET

(Street)

Statement

4. Relationship of Reporting Person(s) to Issuer

Filed(Month/Day/Year)

(Check all applicable)

10% Owner Director

\_X\_\_ Officer Other (give title below) (specify below) Pres, Moody's Investors Svcs 6. Individual or Joint/Group

5. If Amendment, Date Original

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

NEW YORK. NYÂ 10007

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

Form: Direct (D)

(Instr. 5)

or Indirect (I)

(Instr. 5)

Common Stock 69.051

Common Stock

D

Â

5,157.2397 (1)

Ι 401-K

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

4.

6. Nature of Indirect

Ownership Beneficial Conversion Ownership or Exercise Form of

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I)	(Instr. 5)
						(Instr. 5)	
Employee Stock Options (right to buy)	02/07/2004(2)	02/07/2013	Common Stock	100,000	\$ 21.2675	D	Â
Employee Stock Options (right to buy)	02/09/2005(2)	02/09/2014	Common Stock	82,500	\$ 32.4075	D	Â
Employee Stock Options (right to buy)	02/22/2006(2)	02/22/2015	Common Stock	80,400	\$ 41.6875	D	Â
Employee Stock Options (right to buy)	02/08/2007(2)	02/08/2016	Common Stock	50,000	\$ 63.09	D	Â
Employee Stock Options (right to buy)	02/12/2008(2)	02/12/2017	Common Stock	49,500	\$ 72.715	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
·F····	Director	10% Owner	Officer	Other		
Clarkson Brian 7 WORLD TRADE CENTER 250 GREENWICH STREET NEW YORK, NY 10007	Â	Â	Pres, Moody's Investors Svcs	Â		

## **Signatures**

Elizabeth McCarroll, by power of attorney for Brian
Clarkson
08/17/2007

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) As of last statement dated June 30, 2007
- (2) One fourth of the options vest each year beginning with the date indicated.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2