### Edgar Filing: UNIVERSAL TECHNICAL INSTITUTE INC - Form 4

#### UNIVERSAL TECHNICAL INSTITUTE INC

Form 4

March 15, 2007

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * HARTMAN ROBERT D			2. Issuer Name and Ticker or Trading Symbol UNIVERSAL TECHNICAL INSTITUTE INC [UTI]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last) 20410 N. 19T	(First) H AVENUE	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/14/2007	X Director 10% Owner Officer (give title below) Other (specify below)		
PHOENIX, A	(Street) Z 85027		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Ta	ble I - Non	-Derivativ	e Seci	ırities Acqu	ired, Disposed of	f, or Benefici	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit on Dispos (Instr. 3, 4)	sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$0.0001 par value	03/14/2007		S <u>(1)</u>	3,394	D	\$ 23.0441	66,484	I	Hartman 1997 Charitable Remainder Unitrust
Common Stock, \$0.0001 par value	03/14/2007		S <u>(1)</u>	4,096	D	\$ 23.0441	80,227	I	Hartman 2007 Charitable Remainder Unitrust
	03/14/2007		S(1)	6,470	D		542,818	I	

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Common Stock, \$0.0001 par value					\$ 23.0441			Hartman Investments Limited Partnership
Common Stock, \$0.0001 par value	03/14/2007	S <u>(1)</u>	12,940	D	\$ 23.0441	850,641	I	Hartman Family Trust
Common Stock, \$0.0001 par value	03/15/2007	S <u>(1)</u>	2,903	D	\$ 23.2166	63,581	I	Hartman 1997 Charitable Remainder Unitrust
Common Stock, \$0.0001 par value	03/15/2007	S <u>(1)</u>	3,503	D	\$ 23.2166	76,724	I	Hartman 2007 Charitable Remainder Unitrust
Common Stock, \$0.0001 par value	03/15/2007	S <u>(1)</u>	5,531	D	\$ 23.2166	537,287	I	Hartman Investments Limited Partnershp
Common Stock, \$0.0001 par value	03/15/2007	S <u>(1)</u>	11,063	D	\$ 23.2166	839,578	I	Hartman Family Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.	8)	5. nNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		te	7. Title and A Underlying S (Instr. 3 and	Securities	8 II S (
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

SEC 1474

(9-02)

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Employee Stock Option (Right to Buy)	\$ 20.5	12/17/2004	12/17/2013	Common Stock	102,141
Employee Stock Option (Right to Buy)	\$ 20.5	12/17/2004	12/17/2013	Common Stock	100
Employee Stock Option (Right to Buy)	\$ 38.46	02/16/2006	02/16/2015	Common Stock	35,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
HARTMAN ROBERT D 20410 N. 19TH AVENUE SUITE 200 PHOENIX, AZ 85027	X						

## **Signatures**

/s/ Robert D.
Hartman

\*\*Signature of Reporting Person

O3/15/2007

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on or about February 12, 2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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