

VALANJU SUBHASH S
Form 4
January 06, 2009

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
VALANJU SUBHASH S

(Last) (First) (Middle)

5757 N. GREEN BAY AVENUE, P.O. BOX 591

(Street)

MILWAUKEE, WI 53201-0591

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

JOHNSON CONTROLS INC [JCI]

3. Date of Earliest Transaction (Month/Day/Year)

01/05/2009

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)

Vice President and CIO

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common Stock	01/05/2009		A	V	105.142	A	\$ 19.0219	18,735.778 (1)	D	
Common Stock						I		20,762.153 (2)		By 401(k) Plan Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount Number of Shares
Phantom Stock Units - Annual Incentive Plan	(3)					(4)	(4)	Common Stock	83,485
Phantom Stock Units - Long-Term Incentive Plan	(3)					(6)	(6)	Common Stock	8,065
Phantom Stock Units/Excess Benefit Plan-Common	(3)					(8)	(8)	Common Stock	5,978.
Stock Option	\$ 9.7344					11/17/2001	11/17/2009	Common Stock	42,000
Stock Option	\$ 9.474					11/15/2002	11/15/2010	Common Stock	90,000
Stock Option	\$ 13.3717					11/14/2003	11/14/2011	Common Stock	66,000
Stock Option	\$ 13.4325					11/20/2004	11/20/2012	Common Stock	72,000
Stock Option	\$ 17.5167					11/19/2005	11/19/2013	Common Stock	72,000
Stock Option	\$ 20.5633					11/17/2006	11/17/2014	Common Stock	63,000
Stock Option	\$ 22.5617					11/16/2007	11/16/2015	Common Stock	60,000
Stock Option	\$ 23.965					10/02/2008	10/02/2016	Common Stock	48,000
Stock Option	\$ 40.21					10/01/2009	10/01/2017	Common Stock	30,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
VALANJU SUBHASH S 5757 N. GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201-0591			Vice President and CIO	

Signatures

Arlene D. Gumm Attorney-In-Fact for Subhash S. Valanju	01/06/2009
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 117.731 shares acquired through the reinvestment of dividends on January 5, 2009, at a price of \$19.0219 per share.
The number of underlying securities is based on the stock fund balance on January 5, 2009. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on an January 5, 2009, stock fund price of \$19.04 per share.
 - (2) Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. Shares of phantom stock are payable in cash following the reporting person's termination of employment with the company and may be transferred by the reporting person into an alternative investment account at any time.
 - (3) The phantom stock units were accrued under the Johnson Controls Annual Incentive Compensation Plan and are to be settled 100% in cash upon the reporting person's termination.
 - (4) Includes 507.374 phantom stock units acquired through reinvestment of dividends on January 5, 2009, at a price of \$19.04 per share.
 - (5) The phantom stock units were accrued under the Johnson Controls Long-Term Incentive Plan and are to be settled 100% in cash upon the reporting person's termination.
 - (6) Includes 54.696 phantom stock units acquired through reinvestment of dividends on January 5, 2009, at a price of \$19.04 per share.
 - (7) The phantom stock units were accrued under the Johnson Controls Equalization 401(k) Benefit Plan and are to be settled 100% in cash upon the reporting person's termination of employment with the company.
 - (8) Includes 50.65 phantom stock units acquired through reinvestment of dividends on January 5, 2009, at a price of \$19.04 per share and from ongoing monthly purchases through payroll deductions in the plan which mirrors the 401(k) plan.
 - (9)

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