SIEGALL CLAY B

Form 4

January 10, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

burden hours per

OMB APPROVAL

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
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0.5

Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

1(b).

Common

Common

Stock

Stock

01/08/2019

01/08/2019

01/08/2019

(Print or Type Responses)

1. Name and Address of Reporting Person * SIEGALL CLAY B				2. Issuer Name and Ticker or Trading Symbol SEATTLE GENETICS INC /WA [SGEN]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	(Last) (First) (Middle) 21823 30TH DRIVE SE			3. Date of Earliest Transaction (Month/Day/Year) 01/08/2019					X Director 10% OwnerX Officer (give title Other (specify below) President and CEO				
(Street) BOTHELL, WA 98021				4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
	(City)	(State)	(Zip)	Tabl	e I - No	n-D	erivative :	Secur	ities Acq	uired, Disposed of	f, or Beneficial	ly Owned	
	1.Title of Security (Instr. 3)	any		med on Date, if Day/Year)	Code (Instr.	8)	4. Securion(A) or Di (Instr. 3,	spose 4 and (A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
	Common Stock	01/08/2019			Code M	V	Amount 5,448	(D)	Price \$ 12.16	738,061 (1)	D		
	Common Stock	01/08/2019			S(2)		5,448	D	\$ 67.01	732,613 (1)	D		

4,600

4,600

7.100

M

 $S^{(2)}$

M

(3)

\$

D

Α

66.05

737,213 (1)

732,613 (1)

739,713 (1)

D

D

D

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Common Stock					\$ 12.16		
Common Stock	01/08/2019	S(2)	7,100	D	\$ 65.17 (5)	732,613 (1)	D
Common Stock	01/08/2019	M	3,000	A	\$ 12.16	735,613 (1)	D
Common Stock	01/08/2019	S(2)	3,000	D	\$ 64.21 (6)	732,613 (1)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Shares
Non-Qualified Stock Option (right to buy)	\$ 12.16	01/08/2019		M	5,448	<u>(7)</u>	08/25/2019	Common Stock	5,44
Non-Qualified Stock Option (right to buy)	\$ 12.16	01/08/2019		M	4,600	<u>(7)</u>	08/25/2019	Common Stock	4,60
Non-Qualified Stock Option (right to buy)	\$ 12.16	01/08/2019		M	7,100	<u>(7)</u>	08/25/2019	Common Stock	7,10
Non-Qualified Stock Option (right to buy)	\$ 12.16	01/08/2019		M	3,000	<u>(7)</u>	08/25/2019	Common Stock	3,00

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

SIEGALL CLAY B

21823 30TH DRIVE SE X President and CEO

BOTHELL, WA 98021

Signatures

sales were effected.

By: /s/ Jean Liu For: Clay B.
Siegall
01/10/2019

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Amount of securities beneficially owned following reported transactions includes restricted stock units subject to vesting.
- (2) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan.
- Reflects sales of common stock executed in multiple transactions at prices ranging from \$66.63 to \$67.52. The price reported reflects the weighted average sale price. The Reporting Person hereby undertakes to provide upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer full information regarding the number of shares and prices at which the
- Reflects sales of common stock executed in multiple transactions at prices ranging from \$65.63 to \$66.61. The price reported reflects the weighted average sale price. The Reporting Person hereby undertakes to provide upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer full information regarding the number of shares and prices at which the
- Reflects sales of common stock executed in multiple transactions at prices ranging from \$64.62 to \$65.60. The price reported reflects the weighted average sale price. The Reporting Person hereby undertakes to provide upon request by the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer full information regarding the number of shares and prices at which the sales were effected.
 - Reflects sales of common stock executed in multiple transactions at prices ranging from \$63.60 to \$64.59. The price reported reflects the weighted average sale price. The Reporting Person hereby undertakes to provide upon request by the Securities and Exchange
- Commission staff, the Issuer or a security holder of the Issuer full information regarding the number of shares and prices at which the sales were effected.
- (7) Shares vested at a rate of 25% on 8/25/10 and monthly thereafter until all the shares were fully vested on 8/25/13.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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