THOMPSON KATHY C

Form 4

January 05, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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Check this box if no longer subject to Section 16. Form 4 or Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

(Last)

(C:tr.)

1. Name and Address of Reporting Person * THOMPSON KATHY C

2. Issuer Name and Ticker or Trading Symbol

S Y BANCORP INC [SYBT]

5. Relationship of Reporting Person(s) to

Issuer

(First)

(Street)

(State)

(Middle)

(7:m)

3. Date of Earliest Transaction

(Check all applicable) 10% Owner

6804 FAIRWAY VIEW CT.

(Month/Day/Year)

09/30/2010

Filed(Month/Day/Year)

_X__ Director Other (specify X_ Officer (give title

below) Sr. Executive Vice President

4. If Amendment, Date Original

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

PROSPECT, KY 40059

(City)	(State)	Ta	ble I - Non-	-Derivative Securities	Acquired, Dispos	sed of, or Ben	eficially Owned
tle of urity	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transactio	4. Securities on Acquired (A) or	5. Amount of Securities	6. Ownership	7. Nature of Indirect Beneficial
tr. 3)	(1.1011111, 2 11), 1 2 11)	any	Code	Disposed of (D)	Beneficially	Form:	Ownership
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	Direct (D)	(Instr. 4)
					Following	or Indirect	
				2.5	Reported	(I)	

(A) Transaction(s) (Instr. 4) or (Instr. 3 and 4)

Code V Amount (D) Price

36,874.8863 Common 09/30/2010 V 50 D (2)(1) Stock

By

Common Stock

13,684.091 I

D

ESOP/401k-fbo Kathy **Thompson**

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. TransactionNumber Code of (Instr. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option (Right to Buy)	\$ 16					06/27/2001	12/27/2011	Common Stock	8,400
Option (Right to Buy)	\$ 18.619					06/17/2003	12/17/2012	Common Stock	7,140
Option (Right to Buy)	\$ 20.1714					06/16/2004	12/16/2013	Common Stock	9,765
Option (Right to Buy)	\$ 22.8095					06/14/2005	06/14/2014	Common Stock	9,345
Option (Right to Buy)	\$ 24.0667					07/17/2006	11/07/2016	Common Stock	14,700
Option (Right to Buy)	\$ 26.83					08/20/2007	02/20/2017	Common Stock	9,500
Stock Appreciation Right	\$ 23.37					08/19/2008	02/19/2018	Common Stock	6,000
Stock Appreciation Right	\$ 22.14					02/17/2010	02/17/2019	Common Stock	5,500
Stock Appreciation Right	\$ 21.03					02/16/2011	02/16/2020	Common Stock	9,011

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

THOMPSON KATHY C

6804 FAIRWAY VIEW CT. X Sr. Executive Vice President

PROSPECT, KY 40059

Signatures

//Kathy C. 01/05/2011 Thompson

**Signature of Date Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired through dividend reinvestment plan
- (2) Gifted shares to charitable organization

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Reporting Owners 3