

BROOKS DAVID H
Form 4
February 03, 2003

FORM 4

UNITED STATES SECURITIES AND EXCHANGE
COMMISSION
Washington, D.C. 20549

OMB APPROVAL

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response. . .0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo & Dye's Instant Form 4 Filer
www.section16.net

1. Name and Address of Reporting Person* Brooks David H. (Last) (First) (Middle) 4010 Fox Meadow Way (Street) Prospect, KY 40059			2. Issuer Name and Ticker or Trading Symbol S.Y. Bancorp, Inc. SYI			6. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director — <input type="checkbox"/> 10% Owner — <input checked="" type="checkbox"/> Officer (give title below) — Other (specify below) Chairman & CEO		
3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary) 406-62-7565			4. Statement for Month/Day/Year 1/29/2003			7. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person		
(City) (State) (Zip)			Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned					

1. Title of Security (Instr. 3)	2. Trans-action Date (Month/ Day/ Year)	2A. Deemed Execution Date, if any (Month/Day/ Year)	3. Trans-action Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5)			5. Amount of Securities Beneficially Owned Follow-ing Reported Transactions(s) (Instr. 3 & 4)	6. Owner-ship Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	2/3/2003		P		3,207	A	7.25			
Common Stock	2/3/2003		S		2,900	D	37.25			
Common Stock	2/3/2003		S		100	D	37.39			
Common Stock	2/3/2003		S		207	D	37.15	7,468	D	
Common Stock	2/3/2003		P		4,840	A	.8615			
Common Stock	2/3/2003		P		4,840	D	36.75	22,828	I	Wife
Common Stock								7,457.990	I	ESOP Shares
Common Stock								1,145.9543	I	401k Shares

Edgar Filing: BROOKS DAVID H - Form 4

Common Stock	1-29-2003		P		.527	A	35.65		397.839	I	Trust ⁽¹⁾
--------------	-----------	--	---	--	------	---	-------	--	---------	---	----------------------

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 & 4)		8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option (Right to Buy)	.8615	2/3/2003				4,840		Immed.	None	Common Stock	4,840		0	I	Wife
Option (Right to Buy)	.8615							Immed.	None	Common Stock	3,680		3,680	D	
Option (Right to Buy)	7.25	2/3/2003				3,207		Immed.	1/10/05	Common Stock	11,353		14,560	D	
Option (Right to Buy)	14.50							Immed.	1/03/07	Common Stock	4,000		4,000	D	
Option (Right to Buy)	20.50							Immed.	1/8/08	Common Stock	2,000		2,000	D	
Option (Right to Buy)	23.9375							Immed.	1/12/09	Common Stock	10,200		10,200	D	
Option (Right to Buy)	21.00							Immed.	1/07/10	Common Stock	11,500		11,500	D	
Option (Right to Buy)	20.63							Immed.	12/21/10	Common Stock	16,000		16,000	D	
Option (Right to Buy)	33.60							Immed.	12/27/11	Common Stock	12,000		12,000	D	
Option (Right to Buy)	39.10	12/17/02		A		9,300		6/17/02	12/17/12	Common Stock	9,300		9,300	D	

Explanation of Responses:

Edgar Filing: BROOKS DAVID H - Form 4

(1) Shares held in the deferred comp plan

By: /s/ David H. Brooks

2/3/2003

Date

**Signature of Reporting Person

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations.
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.