

Ingersoll-Rand plc
Form 8-K
April 20, 2012

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the

Securities Exchange Act of 1934

Date of Report - April 20, 2012

(Date of earliest event reported)

INGERSOLL-RAND PUBLIC LIMITED COMPANY

(Exact name of registrant as specified in its charter)

Ireland
(State or other jurisdiction)

001-34400
(Commission)

98-0626632
(I.R.S. Employer)

Edgar Filing: Ingersoll-Rand plc - Form 8-K

(Country of incorporation)

(File Number)
170/175 Lakeview Drive

(Identification No.)

Airside Business Park

Swords, Co. Dublin

Ireland

(Address of principal executive offices, including zip code)

+(353)(0)18707400

(Registrant's phone number, including area code)

N/A

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 2.02. Results of Operations and Financial Condition

On April 20, 2012, Ingersoll-Rand plc issued a press release announcing its first quarter 2012 results. The information in this

Form 8-K and the exhibit attached hereto shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to the liabilities under that section, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended, except as shall be expressly set forth by specific reference.

Item 9.01. Financial Statements and Exhibits

(d) Exhibits

| Exhibit No. | Description |
|------------------------|--------------------|
|------------------------|--------------------|

| | |
|------|---|
| 99.1 | Press Release of Ingersoll-Rand plc dated April 20, 2012. |
|------|---|

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

INGERSOLL-RAND PUBLIC LIMITED COMPANY
(Registrant)

Date: April 20, 2012

By: /s/ Steven R. Shawley
Steven R. Shawley
Senior Vice President and Chief Financial Officer

EXHIBIT INDEX

| Exhibit No. | Description |
|----------------|---|
| 99.1 | Press Release of Ingersoll-Rand plc dated April 20, 2012. |

Opt">

Item 2(a). Name of Person Filing:**Item 2(b). Address of Principal Business Office:****Item 2(c). Citizenship:**

| | | | |
|--|--|---|--|
| Warren E. Buffett | Columbia Insurance Company 1314 Douglas Street | Finial Reinsurance Company | GEICO Advantage Insurance Company |
| 3555 Farnam Street | | 100 Stamford Plaza | |
| Omaha, Nebraska 68131 | Omaha, Nebraska 68102 | Stamford, Connecticut 06962 | 5260 Western Avenue Chevy Chase, Maryland 20815 |
| United States Citizen | Nebraska corporation | Connecticut corporation | Nebraska corporation |
| Berkshire Hathaway Inc. | Central States of Omaha | National Indemnity Company of the South | GEICO Casualty Company. |
| 3555 Farnam Street | Companies, Inc. | 1314 Douglas Street | 5260 Western Avenue Chevy Chase, Maryland 20815 |
| Omaha, Nebraska 68131 | 1212 North 96th Street Omaha, | Omaha, Nebraska 68102 | |
| Delaware corporation | Nebraska 68114 | Florida corporation | Maryland corporation |
| | Nebraska corporation | | |
| National Indemnity Company | Central States Indemnity Company | Boat America Corporation | GEICO Choice Insurance Company |
| 1314 Douglas Street | 1212 North 96th Street | 880 South Pickett Street | |
| Omaha, Nebraska 68102 | Omaha, Nebraska 68114 | Alexandria, Virginia 22304 | 5260 Western Avenue Chevy Chase, Maryland 20815 Nebraska corporation |
| Nebraska corporation | Nebraska corporation | Virginia corporation | |
| Berkshire Hathaway Assurance Corporation | CSI Life Insurance Company | GEICO Marine Insurance Company | GEICO Secure Insurance Company |
| 1314 Douglas Street | 1212 North 96th Street Omaha, | 880 South Pickett Street | |

Edgar Filing: Ingersoll-Rand plc - Form 8-K

| | | | |
|--|--|---|---|
| Omaha, Nebraska 68102 | Nebraska 68114 | Alexandria, Virginia 22304 | 5260 Western Avenue Chevy Chase, Maryland 20815 |
| Nebraska corporation | Nebraska corporation | Maryland corporation | Nebraska corporation |
| Berkshire Hathaway Specialty Insurance Company | National Liability & Fire Insurance Company | National Fire & Marine Insurance Company | Redwood Fire & Casualty Insurance Company |
| 1314 Douglas Street | 1314 Douglas Street Omaha, NE 68102 | 1314 Douglas Street Omaha, NE 68102 | 1314 Douglas Street Omaha, NE 68102 |
| Omaha, Nebraska 68102 | Connecticut corporation | Nebraska corporation | Nebraska corporation |
| Nebraska corporation | | | |

| | | | |
|---------------------------------|-----------------------------|--|---|
| National Indemnity Company | Oak River Insurance Company | AmGUARD Insurance Company | EastGUARD Insurance Company |
| of MidAmerica Insurance Company | 1314 Douglas Street | 16 South River Street | 16 South River Street |
| 1314 Douglas Street | Omaha, NE 68102 | Wilkes-Barre, PA 18703 | Wilkes-Barre, PA 18703 |
| Omaha, NE 68102 | Nebraska corporation | Pennsylvania corporation | Pennsylvania corporation |
| Iowa corporation | | | |
| NorGUARD Insurance Company | WestGUARD Insurance Company | Berkshire Hathaway Homestate Insurance Company | Berkshire Hathaway Direct Insurance Company |
| 16 South River Street | 16 South River Street | 1314 Douglas Street | 1314 Douglas Street |
| Wilkes-Barre, PA 18703 | Wilkes-Barre, PA 18703 | Omaha, NE 68102 | Omaha, NE 68102 |
| Pennsylvania corporation | Pennsylvania corporation | Nebraska corporation | Delaware corporation |

(d) Title of Class of Securities:

Common Stock

(e) CUSIP Number:

459200101

Item 3. If this statement is filed pursuant to §§240.13d-1(b), or 240.13d-2(b) or (c), check whether the person filing is a:

Warren E. Buffett (an individual who may be deemed to control Berkshire Hathaway Inc.), Berkshire Hathaway Inc., Central States of Omaha Companies, Inc. and Boat America Corporation are each a Parent Holding Company or Control Person, in accordance with §240.13d-1(b)(1)(ii)(G).

National Indemnity Company, Berkshire Hathaway Assurance Corporation, Berkshire Hathaway Specialty Insurance Company, Berkshire Hathaway Homestate Insurance Company, Columbia Insurance Company, Central States Indemnity Company of Omaha, CSI Life Insurance Company, Finial Reinsurance Company, National Indemnity Company of the South, GEICO Marine Insurance Company, GEICO Advantage Insurance Company, GEICO Casualty Company, GEICO Choice Insurance Company, GEICO Secure Insurance Company, National Fire and Marine Insurance Company, Redwood Fire & Casualty Insurance Company, National Indemnity of MidAmerica

Edgar Filing: Ingersoll-Rand plc - Form 8-K

Insurance Company, Oak River Insurance Company, AmGUARD Insurance Company, EastGUARD Insurance Company, NorGUARD Insurance Company, WestGUARD Insurance Company, Berkshire Hathaway Direct Insurance Company and National Liability & Fire Insurance Company are each an Insurance Company as defined in section 3(a)(19) of the Act.

The Reporting Persons together are a Group in accordance with §240.13d-1(b)(1)(ii)(K).

Item 4. Ownership.

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

(a) Amount beneficially owned:

See the Cover Pages for each of the Reporting Persons.

(b) Percent of class:

See the Cover Pages for each of the Reporting Persons.

(c) Number of shares as to which such person has:

(i) sole power to vote or to direct the vote

(ii) shared power to vote or to direct the vote

(iii) sole power to dispose or to direct the disposition of

(iv) shared power to dispose or to direct the disposition of

See the Cover Pages for each of the Reporting Persons.

Item 5. Ownership of Five Percent or Less of a Class.

Not Applicable.

Item 6. Ownership of More than Five Percent on Behalf of Another Person.

Not Applicable.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company or Control Person.

See Exhibit A.

Item 8. Identification and Classification of Members of the Group.

See Exhibit A.

Item 9. Notice of Dissolution of Group.

Not Applicable.

Item 10. Certification.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect, other than activities solely in connection with a nomination under §240.14a-11.

SIGNATURES

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 14, 2017
Date

/s/ Warren E. Buffett
Signature

Warren E. Buffett
Name

Berkshire Hathaway Inc.

By: /s/ Warren E. Buffett
Signature

Warren E. Buffett, Chairman of the Board
Name/Title

February 14, 2017
Date

Berkshire Hathaway Assurance Corporation
Columbia Insurance Company
Central States Indemnity Company of Omaha
CSI Life Insurance Company
Finial Reinsurance Company
National Indemnity Company
National Indemnity Company of the South
GEICO Marine Insurance Company
GEICO Advantage Insurance Company
GEICO Casualty Company
GEICO Choice Insurance Company
GEICO Secure Insurance Company
Central States of Omaha Companies, Inc.
Boat America Corporation
Berkshire Hathaway Specialty Insurance Company
National Fire and Marine Insurance Company
Redwood Fire & Casualty Insurance Company
National Indemnity Company of MidAmerica
Insurance Company
Oak River Insurance Company
AmGUARD Insurance Company
EastGUARD Insurance Company
NorGUARD Insurance Company

WestGUARD Insurance Company
Berkshire Hathaway Homestate Insurance Company

Berkshire Hathaway Direct Insurance Company

National Liability & Fire Insurance Company

By: /s/ Warren E. Buffett
Signature

Warren E. Buffett
Attorney-in-Fact
Name/Title

February 14, 2017
Date

SCHEDULE 13G

EXHIBIT A

RELEVANT SUBSIDIARIES AND MEMBERS OF FILING GROUP

PARENT HOLDING COMPANIES OR CONTROL PERSONS:

Warren E. Buffett (an individual who may be deemed to control Berkshire Hathaway Inc.)

Berkshire Hathaway Inc.

Central States of Omaha Companies, Inc.

Boat America Corporation

INSURANCE COMPANIES AS DEFINED IN SECTION 3(a)(19) OF THE ACT:

National Indemnity Company, Berkshire Hathaway Assurance Corporation, Berkshire Hathaway Specialty Insurance Company, Columbia Insurance Company, Central States Indemnity Company of Omaha, CSI Life Insurance Company, Finial Reinsurance Company, National Indemnity Company of the South, GEICO Marine Insurance Company, GEICO Advantage Insurance Company, GEICO Casualty Company, GEICO Choice Insurance Company, GEICO Secure Insurance Company, National Fire and Marine Insurance Company, Redwood Fire & Casualty Insurance Company, National Indemnity Company of MidAmerica Insurance Company, Oak River Insurance Company, AmGUARD Insurance Company, EastGUARD Insurance Company, NorGUARD Insurance Company, WestGUARD Insurance Company, Berkshire Hathaway Homestate Insurance Company, Berkshire Hathaway Direct Insurance Company and National Liability & Fire Insurance Company

SCHEDULE 13G

EXHIBIT B

JOINT FILING AGREEMENT PURSUANT TO RULE 13d-1(k)(1)

AND POWER OF ATTORNEY

The undersigned persons agree and consent to the joint filing on their behalf of Schedule 13G and all amendments thereto in connection with their beneficial ownership of the Common Stock of International Business Machines Corporation.

Each person other than Warren E. Buffett whose signature appears below hereby constitutes and appoints Warren E. Buffett as his true and lawful attorney-in-fact and agent with full power of substitution and resubstitution, to act for him and in his name, place and stead, in any and all capacities, to sign a Schedule 13G and any or all amendments to Schedule 13G in connection with the beneficial ownership of the Common Stock of International Business Machines Corporation, and to file the same, with all exhibits thereto, and other documents in connection therewith, with the Securities and Exchange Commission, granting unto said attorney-in-fact and agent full power and authority to do and perform each and every act and thing requisite and necessary to be done in and about the premises, as fully to all intents and purposes as he might or could do in person, hereby ratifying and confirming all that said attorney-in-fact and agent or his substitute may lawfully do or cause to be done by virtue hereof.

Dated: February 14, 2017

/S/ Warren E. Buffett
Warren E. Buffett

Berkshire Hathaway Inc.

Dated: February 14, 2017

/S/ Warren E. Buffett
By: Warren E. Buffett
Title: Chairman of the Board

National Indemnity Company

Dated: February 14, 2017

/S/ Dale D. Geistkemper
By: Dale D. Geistkemper
Title: Treasurer

Berkshire Hathaway Assurance Corporation

Dated: February 14, 2017

/S/ Dale D. Geistkemper
By: Dale D. Geistkemper
Title: Treasurer

Columbia Insurance Company

Dated: February 14, 2017

/S/ Dale D. Geistkemper
By: Dale D. Geistkemper
Title: Treasurer

Central States of Omaha Companies, Inc.

Dated: February 14, 2017

/S/ Thomas B. Schlichting
By: Thomas B. Schlichting
Title: CFO

CSI Life Insurance Company

Dated: February 14, 2017

/S/ Thomas B. Schlichting
By: Thomas B. Schlichting
Title: CFO

Central States Indemnity Company of Omaha

Dated: February 14, 2017

/S/ Thomas B. Schlichting
By: Thomas B. Schlichting
Title: CFO

Finial Reinsurance Company

Dated: February 14, 2017

/S/ Dale D. Geistkemper
By: Dale D. Geistkemper
Title: Treasurer

National Indemnity Company of the South

Dated: February 14, 2017

/S/ Dale D. Geistkemper
By: Dale D. Geistkemper
Title: Treasurer

Boat America Corporation

Dated: February 14, 2017

/S/ Richard Schwartz
By: Richard Schwartz
Title: Chairman

GEICO Marine Insurance Company

Dated: February 14, 2017

/S/ Jim Holler
By: Jim Holler
Title: President

GEICO Advantage Insurance Company

Dated: February 14, 2017

/S/ William E. Roberts
By: William E. Roberts
Title: President

GEICO Casualty Company

Dated: February 14, 2017

/S/ William E. Roberts
By: William E. Roberts
Title: President

GEICO Choice Insurance Company

Dated: February 14, 2017

/S/ William E. Roberts
By: William E. Roberts
Title: President

GEICO Secure Insurance Company

Dated: February 14, 2017

/S/ William E. Roberts
By: William E. Roberts
Title: President

Berkshire Hathaway Specialty Insurance Company

Dated: February 14, 2017

/S/ Dale D. Geistkemper
By: Dale D. Geistkemper
Title: Treasurer

AmGUARD Insurance Company

Dated: February 14, 2017

/S/ Sy Foguel
By: Sy Foguel
Title: President

EastGUARD Insurance Company

Dated: February 14, 2017

/S/ Sy Foguel
By: Sy Foguel
Title: President

NorGUARD Insurance Company

Dated: February 14, 2017

/S/ Sy Foguel
By: Sy Foguel
Title: President

WestGUARD Insurance Company

Dated: February 14, 2017

/S/ Sy Foguel
By: Sy Foguel
Title: President

Berkshire Hathaway Homestate Insurance Company

Dated: February 14, 2017

/S/ Andrew Linkhart
By: Andrew Linkhart
Title: Treasurer

National Fire and Marine Insurance Company

Dated: February 14, 2017

/S/ Dale D. Geistkemper
By: Dale D. Geistkemper
Title: Treasurer

Redwood Fire & Casualty Insurance Company

Dated: February 14, 2017

/S/ Andrew Linkhart
By: Andrew Linkhart
Title: Treasurer

Berkshire Hathaway Direct Insurance Company

Dated: February 14, 2017

/S/ Dale D. Geistkemper
By: Dale D. Geistkemper
Title: Treasurer

National Indemnity Company of MidAmerica
Insurance Company

Dated: February 14, 2017

/S/ Dale D. Geistkemper
By: Dale D. Geistkemper
Title: Treasurer

Oak River Insurance Company

Dated: February 14, 2017

/S/ Andrew Linkhart
By: Andrew Linkhart
Title: Treasurer

National Liability & Fire Insurance Company

Dated: February 14, 2017

/S/ Dale D. Geistkemper
By: Dale D. Geistkemper
Title: Treasurer