Retrophin, Inc. Form 8-K May 25, 2016

#### **UNITED STATES**

#### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### Form 8-K

#### **Current Report**

Pursuant to Section 13 or 15(d) of

the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): May 19, 2016

## RETROPHIN, INC.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of

**001-36257** (Commission

27-4842691 (I.R.S. Employer

incorporation)

File Number)

**Identification No.)** 

#### Edgar Filing: Retrophin, Inc. - Form 8-K

#### 12255 El Camino Real, Suite 250

San Diego, CA 92130 (Address of principal executive offices) (Zip Code) Registrant s telephone number, including area code: (760) 260-8600

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

# Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On May 19, 2016, the Board of Directors of Retrophin, Inc. (the *Company* ) approved the following equity awards pursuant to the Company s 2015 Equity Incentive Plan:

Stock option awards (the *Options*) to Stephen Aselage, Laura Clague and Alvin Shih to purchase 160,000, 60,000 and 60,000 shares of the Company's common stock, respectively, at an exercise price equal to \$16.23 per share, the closing price of the Company's common stock on the date of grant. The Options vest in equal quarterly installments over a three year period following their date of grant.

Performance-based restricted stock unit awards (the *PRSUs*) to Stephen Aselage, Laura Clague and Alvin Shih covering 40,000, 15,000 and 15,000 shares of the Company s common stock, respectively. The PRSUs vest in full upon the later of (i) 12 months from their date of grant or (ii) the Company s acquisition of a new product or product candidate meeting certain specified conditions.

## **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

# RETROPHIN, INC.

Dated: May 25, 2016 By: /s/ Stephen Aselage

Name: Stephen Aselage

Title: Chief Executive Officer