PUMA BIOTECHNOLOGY, INC. Form 8-K February 06, 2014

# **UNITED STATES**

## SECURITIES AND EXCHANGE COMMISSION

## WASHINGTON, DC 20549

## FORM 8-K

## **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of The

Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): February 6, 2014

## PUMA BIOTECHNOLOGY, INC.

(Exact Name of Registrant as Specified in its Charter)

Delaware (State or other jurisdiction 001-35703 (Commission File Number) 77-0683487 (IRS Employer Identification No.)

of incorporation)

10880 Wilshire Boulevard, Suite 2150,

#### Edgar Filing: PUMA BIOTECHNOLOGY, INC. - Form 8-K

## Los Angeles, California 90024

#### (Address of principal executive offices) (Zip Code)

#### (424) 248-6500

## (Registrant s telephone number, including area code)

#### N/A

#### (Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

" Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

# Item 8.01 Other Events.

On February 6, 2014, Puma Biotechnology, Inc. (the Company ) issued a press release announcing that the European Patent Office has upheld the claims in the Company s licensed European patent (EP 1848414) and scheduling a conference call to be held February 6, 2014 at 2:00 p.m. PST (5:00 p.m. EST) to discuss this outcome. A copy of the press release is filed herewith as Exhibit 99.1 and is incorporated by reference herein.

# Item 9.01 Financial Statements and Exhibits.

- (d) Exhibits.
- 99.1 Press Release dated February 6, 2014

# SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

PUMA BIOTECHNOLOGY, INC.

Date: February 6, 2014

By: /s/ Alan H. Auerbach

Alan H. Auerbach

Chief Executive Officer and President

# EXHIBIT INDEX

Exhibit No.

Description

99.1 Press Release dated February 6, 2014