Apollo Global Management LLC

Form 4

November 04, 2014

| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION   |   |  |  |  |   |                        | OMB APPROVAL     |  |  |   |  |  |
|---|---|--|--|--|---|------------------------|------------------|--|--|---|--|--|
| Washington, D.C. 20549  |   |  |  |  |   | COMMISSION             | OMB<br>Number:   | 3235-0287  |  |   |  |  |
| Check this if no longe subject to Section 16 Form 4 or  | stati   | STATEMENT OF CHANGES IN BENEFICIAL OWNERS SECURITIES |  |  |   |                        |                  |  | Expires: Estimated a burden hour response                |   |  |  |
| Form 5 obligations may continue.  See Instruction 1(b).  Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 |   |  |  |  |   |                        |                  |  |  |   |  |  |
| (Print or Type Responses)   |   |  |  |  |   |                        |                  |  |  |   |  |  |
| 1. Name and Address of Reporting Person * Kraft Robert K  |   |  | Symbo  |  |   |                        | _                | 5. Relationship of Issuer  | o of Reporting Person(s) to                              |   |  |  |
| Apollo Global Management LLC [APO]  |   |  |  |  | LLC   | (Check all applicable) |                  |  |  |   |  |  |
| (Last) (First) (Middle)   |   |  | 3. Date of Earliest Transaction (Month/Day/Year) |  |   |                        |                  | X Director 10% Owner Officer (give title below) Other (specify below)  |  |   |  |  |
| C/O APOLLO GLOBAL 10/31/2014  MANAGEMENT, LLC, 9 WEST  57TH STREET, 43RD FLOOR  |   |  |  |  |   |                        |                  |  |  |   |  |  |
| (Street) 4. If Amendment, Date Original Filed(Month/Day/Year)   |   |  |  |  | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person |                        |                  |  |  |   |  |  |
| NEW YORK, NY 10019  |   |  |  |  |   |                        |                  |  | _ Form filed by More than One Reporting                  |   |  |  |
| (City)  | (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |  |  |   |                        |                  |  | ly Owned   |   |  |  |
|   | Γransaction Date onth/Day/Year)   | Date 2A. Deemed Exact Date, if any (Month/Day/Year)  |  | Code (Instr. 3, 4 and 5) (Instr. 8) (A) or |   |                        |                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Class A<br>Shares 10  | /31/2014  |  |  | Code V                                     | Amount 10,000   | (D)                    | Price \$ 22.6514 | 40,000   | I  | By KPC<br>US Equity<br>LLC (1)                                    |  |  |
| Class A<br>Shares   |   |  |  |  |   |                        |                  | 10,860 (2)   | D  |   |  |  |

Persons who respond to the collection of

information contained in this form are not

required to respond unless the form displays a currently valid OMB control

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

1

SEC 1474

(9-02)

#### Edgar Filing: Apollo Global Management LLC - Form 4

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | cisable and | 7. Titl | le and   | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|---------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration D  | ate         | Amou    | ınt of   | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)       | Under   | rlying   | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |             | Secur   | ities    | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |               |             | (Instr. | 3 and 4) |             | Own    |
|             | Security    |                     |                    |            | Acquired   |               |             |         |          |             | Follo  |
|             | ·           |                     |                    |            | (A) or     |               |             |         |          |             | Repo   |
|             |             |                     |                    |            | Disposed   |               |             |         |          |             | Trans  |
|             |             |                     |                    |            | of (D)     |               |             |         |          |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |               |             |         |          |             | `      |
|             |             |                     |                    |            | 4, and 5)  |               |             |         |          |             |        |
|             |             |                     |                    |            |            |               |             |         |          |             |        |
|             |             |                     |                    |            |            |               |             |         | Amount   |             |        |
|             |             |                     |                    |            |            | Date          | Expiration  |         | or       |             |        |
|             |             |                     |                    |            |            | Exercisable   | Date        | Title   | Number   |             |        |
|             |             |                     |                    |            |            | 2.1010154616  |             |         | of       |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |               |             |         | Shares   |             |        |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Kraft Robert K C/O APOLLO GLOBAL MANAGEMENT, LLC 9 WEST 57TH STREET, 43RD FLOOR NEW YORK, NY 10019

X

### **Signatures**

Robert K. Kraft 11/04/2014

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Class A Shares are owned directly by KPC US Equity LLC, which is under the sole control of the Reporting Person, and may be deemed to be beneficially owned by the Reporting Person.
- Reported amount includes 10,860 restricted share units ("RSUs") granted under the Apollo Global Management, LLC 2007 Omnibus

  Equity Incentive Plan. Each RSU represents the contingent right to receive one Class A share for each vested RSU. Subject to accelerated vesting in certain circumstances, the RSUs vest in installments in accordance with the terms of the applicable RSU award agreement by and between the reporting person and the issuer, provided the reporting person remains in service through the applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2