Ophthotech Corp. Form 4 June 02, 2014

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

3235-0287 Number:

**OMB APPROVAL** 

January 31, Expires: 2005

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Form 5

(Print or Type Responses)

1. Name and Address of Reporting Person \* SVLSF IV, LLC

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First)

(Middle)

(Zip)

Ophthotech Corp. [OPHT]

(Check all applicable)

ONE BOSTON PLACE, 201 WASHINGTON STREET, SUITE

(Street)

(State)

3900

3. Date of Earliest Transaction (Month/Day/Year)

05/29/2014

Director X\_\_ 10% Owner Officer (give title \_ Other (specify below)

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting Person

BOSTON, MA 02108

(City)

<b>Table I - Non-Derivative</b>	Securities Acquired	d. Disposed of, or	Beneficially Owned
Table I - Holl-Delivative	occurred Acquired	a, Disposeu oi, oi	Deficiency Owner

						-	· •		•
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securitie omr Disposer (Instr. 3, 4	d of (Ľ	))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)	(	C
Common Stock (1)	05/29/2014		S	238,787	D	\$ 40.99 (6)	4,403,812	I	See Footnote (1) (5)
Common Stock (2)	05/29/2014		S	238,787	D	\$ 40.99 <u>(6)</u>	4,403,812	I	See Footnote (2) (5)
Common Stock (3)	05/29/2014		S	232,195	D	\$ 40.99 (6)	4,282,236	D	
Common	05/29/2014		S	6,592	D	\$	121,576	D	

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Stock (4)					40.99 (6)			
Common Stock (1)	05/30/2014	S	71,836	D	\$ 41.01 <u>(7)</u>	4,331,976	I	See Footnote (1) (5)
Common Stock (2)	05/30/2014	S	71,836	D	\$ 41.01 <u>(7)</u>	4,331,976	I	See Footnote (2) (5)
Common Stock (3)	05/30/2014	S	69,853	D	\$ 41.01 <u>(7)</u>	4,212,383	D	
Common Stock (4)	05/30/2014	S	1,983	D	\$ 41.01 (7)	119,593	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.	ctio	5. nNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	

SVLSF IV, LLC ONE BOSTON PLACE 201 WASHINGTON STREET, SUITE 3900 BOSTON, MA 02108

X

Reporting Owners 2

SV Life Sciences Fund IV (GP), L.P.

ONE BOSTON PLACE
201 WASHINGTON STREET, SUITE 3900
BOSTON, MA 02108

SV LIFE SCIENCES FUND IV, L.P.
ONE BOSTON PLACE
201 WASHINGTON STREET, SUITE 3900
BOSTON, MA 02108

SV LIFE SCIENCES FUND IV STRATEGIC PARTNERS, L. P.
ONE BOSTON PLACE
201 WASHINGTON STREET, SUITE 3900
BOSTON, MA 02108

X
BOSTON, MA 02108

### **Signatures**

/s/ Thomas B. Rosedale (pursuant to power of attorney)	06/02/2014
**Signature of Reporting Person	Date
/s/ Thomas B. Rosedale (pursuant to power of attorney)	06/02/2014
**Signature of Reporting Person	Date
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**Signature of Reporting Person	Date
/s/ Thomas B. Rosedale (pursuant to power of attorney)	06/02/2014
**Signature of Reporting Person	Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These shares are owned directly by SV Life Sciences Fund IV, L.P. ("SVLS IV LP") and SV Life Sciences Fund IV Strategic Partners, L.P. ("Strategic Partners"). SV Life Sciences Fund IV (GP), L.P. ("SVLS IV GP"), the general partner of SVLS IV LP and Strategic

- (1) Partners, may be deemed to share voting and dispositive power over the shares held by SVLS IV LP and Strategic Partners. SVLS IV GP disclaims beneficial ownership of shares held by SVLS IV LP and Strategic Partners except to the extent of any pecuniary interest therein.
- These shares are owned directly by SVLS IV LP and Strategic Partners. SVLSF IV, LLC, the general partner of SVLS IV GP, may be deemed to share voting and dispositive power over the shares held by SVLS IV LP and Strategic Partners. SVLSF IV, LLC disclaims beneficial ownership of shares held by SVLS IV LP and Strategic Partners except to the extent of any pecuniary interest therein.
- (3) These shares are held directly by SVLS IV LP.
- (4) These shares are held directly by Strategic Partners.
- SVLS IV LP and Strategic Partners (each a "Fund", or collectively the "Funds") may be deemed to beneficially own the shares held by each other Fund because of certain contractual relationships among the Funds and their affiliates. The Funds disclaim beneficial ownership of shares held by any other Fund except to the extent of any pecuniary interest therein.
  - The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$40.73 to \$41.34, inclusive. The reporting person undertakes to provide to the Registrant, or the staff of the Securities and Exchange
- Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

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The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$40.19 to \$41.35, inclusive. The reporting person undertakes to provide to the Registrant, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.