

ModusLink Global Solutions Inc
Form 3
May 12, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â SHERK JOSEPH B

(Last) (First) (Middle)

C/O MODUSLINK GLOBAL SOLUTIONS, INC., Â 1601 TRAPELO ROAD, SUITE 170

(Street)

WALTHAM, Â MA Â 02451

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

05/02/2014

3. Issuer Name and Ticker or Trading Symbol

ModusLink Global Solutions Inc [MLNK]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

___ Director ___ 10% Owner

X Officer ___ Other

(give title below) (specify below)

Principal Accounting Officer

6. Individual or Joint/Group

Filing(Check Applicable Line)

X Form filed by One Reporting Person

___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

2,000 ⁽¹⁾

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy) granted on December 10, 2007	Â <u>(2)</u>	12/09/2014	Common Stock	5,000	\$ 14.35	D	Â
Stock Option (right to buy) granted on November 1, 2008	Â <u>(2)</u>	10/31/2015	Common Stock	2,500	\$ 5.56	D	Â
Stock Option (right to buy) granted on November 1, 2009	Â <u>(2)</u>	10/31/2016	Common Stock	2,500	\$ 8.22	D	Â
Stock Option (right to buy) granted on November 1, 2010	Â <u>(3)</u>	10/31/2017	Common Stock	3,000	\$ 6.58	D	Â
Stock Option (right to buy) granted on December 22, 2010	Â <u>(4)</u>	12/22/2017	Common Stock	1,000	\$ 7	D	Â
Stock Option (right to buy) granted on November 22, 2011	Â <u>(5)</u>	11/22/2018	Common Stock	3,750	\$ 4.29	D	Â
Stock Option (right to buy) granted on March 12, 2013	Â <u>(6)</u>	03/12/2020	Common Stock	15,000	\$ 3.38	D	Â
Stock Option (right to buy) granted on November 5, 2013	11/05/2014 ⁽⁷⁾	11/05/2020	Common Stock	12,500	\$ 4.16	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SHERK JOSEPH B C/O MODUSLINK GLOBAL SOLUTIONS, INC. 1601 TRAPELO ROAD, SUITE 170 WALTHAM, MA 02451	Â	Â	Â Principal Accounting Officer	Â

Signatures

/s/ Nathaniel Gaede (pursuant to power of attorney)

05/12/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Includes 334 shares of restricted stock granted on November 9, 2011. Restrictions lapse on these shares on November 9, 2014.
- (2) These shares are currently exercisable.
- (3) 2,562 shares are currently exercisable. The remainder of the option vests in equal monthly installments until fully vested on November 1, 2014.
- (4) 833 shares are currently exercisable. The remainder of the option vests in equal monthly installments until fully vested on December 22, 2014.
- (5) 2,265 shares are currently exercisable. The remainder of the option vests in equal monthly installments until fully vested on November 22, 2015.
- (6) 7,500 shares are currently exercisable. The remainder of the option vests on March 12, 2015.
- (7) Option vests and becomes exercisable as to 25% on the first anniversary of the date of grant and the remainder in 36 equal monthly installments.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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