### Edgar Filing: HARVARD BIOSCIENCE INC - Form 4/A

HARVARD Form 4/A April 08, 20	BIOSCIENCE II	NC									
•									OMB AF	PROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMMISSION	OMB Number:	3235-0287	
Check th if no lon				U					Expires:	January 31, 2005	
subject t Section Form 4 c	o <b>SIAIEN</b> 16.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES									
Form 5 obligatic may con <i>See</i> Instr 1(b).	tinue. Section 17(	a) of the l	Public U	tility Hol		npan	y Act of	Act of 1934, 1935 or Section )	I		
(Print or Type	Responses)										
GREEN DAVID S			Symbol		d Ticker or			5. Relationship of Reporting Person(s) to Issuer			
	HARVARD BIOSCIENCE INC [HBIO]					(Check all applicable)					
(Month			(Month/E	of Earliest TransactionX_ Director Day/Year)Officer (give below)					title 10% Owner Other (specify below)		
84 OCTOBER HILL ROAD 04/02/											
				onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
								Person			
(City)	(State)	(Zip)	Tabl	le I - Non-l	Derivative	Secur	ities Acqu	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any	n Date, if	3. Transactio Code (Instr. 8)	4. Securit ordr Dispose (Instr. 3, 4	ed of ( and f (A)	(D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	04/02/2014			S	25,331 ( <u>3)</u>	D	\$ 4.6908	1,427,521 <u>(1)</u>	D		
Common Stock	04/03/2014			S	38,170 (4)	D	\$ 4.5901	1,389,351 <u>(2)</u>	D		
Common Stock								300,000	I	David Green 2013 Annuity Trust	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	7. Title Amoun Underl Securit (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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# **Reporting Owners**

Reporting Owner Name / Addre	SS	Relationships							
	Director	10% Owner	Officer	Othe					
GREEN DAVID 84 OCTOBER HILL ROAD HOLLISTON, MA 01746	X								
Signatures									
/s/ David Green	04/08/2014								
<u>**</u> Signature of	Date								

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes (a) a deferred stock award of 17,697 restricted stock units which vest on January 1, 2015; (b) a deferred stock award of 58,430 restricted stock units which vest in equal installments on January 1, 2015 and January 1, 2016; (c) a deferred stock award of 41,062 restricted stock units which vest in equal installments on January 1, 2015, January 1, 2016, and January 1, 2017; and (d) 1,310,332 shares of common stock held by the Reporting Person.

Includes (a) a deferred stock award of 17,697 restricted stock units which vest on January 1, 2015; (b) a deferred stock award of 58,430 restricted stock units which vest in equal installments on January 1, 2015 and January 1, 2016; (c) a deferred stock award of 41,062 restricted stock units which vest in equal installments on January 1, 2015, January 1, 2016, and January 1, 2017; and (d) 1,272,162

- shares of common stock held by the Reporting Person. This transaction was executed in multiple trades at prices ranging from \$4.60 to \$4.7550. The price reported above reflects the weighted
- (3) average sale [or purchase] price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

(2)

Reporting Person

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This transaction was executed in multiple trades at prices ranging from \$4.55 to \$4.66. The price reported above reflects the weighted

(4) average sale [or purchase] price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

#### **Remarks:**

This Amendment was filed to add footnotes #3 and #4. The reported sales were made pursuant to the terms of a 10b5-1 tradin

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.