American Water Works Company, Inc.

Form 4

January 27, 2014

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

5. Relationship of Reporting Person(s) to Issuer			
k all applicable)			
title 10% Owner Other (specify			
below) below) SVP, Central Division			
int/Group Filing(Check One Reporting Person			
Form filed by More than One Reporting Person			
, or Beneficially Owned			

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	(A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/22/2014		M	3,182	A	\$ 0	20,541	D	
Common Stock	01/22/2014		A	3,649	A	\$ 0	24,190	D	
Common Stock	01/22/2014		F	2,550	D	\$ 42.11	21,640	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	ution Date, if TransactionDerivative Expiration Date Code Securities (Month/Day/Year)		stionDerivative Securities B) Acquired (A) or Disposed of (D) (Instr. 3, 4, and		ate	7. Title and Ar Underlying Se (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	A on N of Sl
Performance Stock Units	(1)	01/22/2014		A	1,363 (2)		<u>(1)</u>	12/31/2013(4)	Common Stock	
Performance Stock Units	<u>(1)</u>	01/22/2014		M		3,182	<u>(1)</u>	12/31/2013(4)	Common Stock	()

## **Reporting Owners**

Director 10% Owner Officer Other

Rowe Nick Orlando 1025 LAUREL OAK ROAD VOORHEES, NJ 08043

SVP, Central Division

# **Signatures**

/s/ Thomas Wyatt by Power of Attorney previously filed

01/27/2014

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each performance stock unit represented a contingent right to receive one share of common stock of American Water Works Company, (1) Inc. (the "Company"), based on the Company's annual total stockholder return ("TSR") relative to the performance of the other companies in the Dow Jones U.S. Utility Index over a three-year performance period, beginning on January 1, 2011 and ending December 31, 2013.
- (2) Represents the above-target earned portion of the TSR performance stock unit. In 2011, the Company granted 1,819 performance stock units, equal to the number of shares to be received if target performance was achieved.
- (3) Represents the earned portion of the TSR performance stock units, including target units granted in 2011 and above-target units earned based on TSR performance. The number of TSR performance stock units earned was 175 percent of the target.
- (4) Represents the end of the performance period to which the performance stock units relate.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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