THL Credit, Inc. Form 4 August 26, 2013

## FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

January 31, Expires: 2005

10% Owner

Form 5 obligations STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

(Check all applicable)

\_X\_\_ Officer (give title \_\_X\_\_ Other (specify

Issuer

Director

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

2. Issuer Name and Ticker or Trading

THL Credit, Inc. [TCRD]

3. Date of Earliest Transaction

(Month/Day/Year)

03/08/2013

Symbol

1(b).

(Last)

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

(Middle)

STROPP WENDELL HUNTER

100 FEDERAL STREET, 31ST

FLOOR	151 03/06/.	2013	below) Co-President	below) //Portfolio M	anager	
(Street)	4. If Am	mendment, Date Original	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
BOSTON, MA 02110	Filed(M	Ionth/Day/Year)				
(City) (State)	(Zip) Tal	ble I - Non-Derivative Securities A	cquired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Month/Day/Year) (Instr. 3)	e 2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock			2,064,324.291	I	See footnote	
Common Stock			2,057,349.812	I	See footnote (2)	
Common Stock			16,203	I	See footnote (3)	
Common			0	I	See	

footnote

(4)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Common Stock	08/22/2013	P	1,850	A	\$ 15.4	14,905.9465 (5)	D
Common Stock	08/23/2013	P	300	A	\$ 15.319	15,205.9465	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.		5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Under Secur	rlying	8. Price of Derivative Security (Instr. 5)
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			

STROPP WENDELL HUNTER 100 FEDERAL STREET 31ST FLOOR BOSTON, MA 02110

Co-President / Portfolio Manager

## **Signatures**

Stephanie Pare Sullivan as Attorney-in-Fact 08/26/2013

\*\*Signature of Reporting Person Date

Reporting Owners 2

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Represents (i) 2,047,719.812 shares owned by THL Credit Partners BDC Holdings, L.P., (ii) 6,974.479 shares owned by THL Credit Opportunities, L.P., and (iii) 9,630 shares owned by THL Credit Partners GP, L.P. The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the inclusion of these shares in this report should not be deemed
- (1) an admission of beneficial ownership of all of the reported shares for purposes of Section 16 or for any other purpose. The number of shares owned by THL Credit Partners GP, L.P. includes 6,420 shares that were distributed from THL Credit Partners BDC Holdings, L.P. to THL Credit Partners GP, L.P. on March 8, 2013 and were inadvertantly ommitted from the Form 4 filed for W. Hunter Stropp on March 11, 2013.
  - Represents (i) 2,047,719.812 shares owned by THL Credit Partners BDC Holdings, L.P. and (ii) 9,630 shares owned by THL Credit Partners GP, L.P. These share amounts reflect that on March 11, 2013, THL Credit Opportunities, L.P. sold 6,974.479 shares. The
- (2) reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the inclusion of these shares in this report should not be deemed an admission of beneficial ownership of all of the reported shares for purposes of Section 16 or for any other purpose.
  - Represents (i) 16,203 shares owned by THL Credit Partners GP, L.P. and (ii) 0 shares owned by THL Credit Partners BDC Holdings, L.P. The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the inclusion of these shares in this report should not be deemed an admission of beneficial ownership of all of the reported shares for
- purposes of Section 16 or for any other purpose. The number of shares of THL Credit Partners GP, L.P. include 6,573 shares that were distributed from THL Credit Partners BDC Holdings, L.P. to THL Credit Partners GP, L.P. on May 10, 2013 and were inadvertantly ommitted from the Form 4 filed for W. Hunter Stropp on May 23, 2013. The number of shares owned by THL Credit Partners BDC Holdings, L.P. reflects that on May 10, 2013, THL Credit Partners BDC Holdings, L.P. distributed its remaining 2,047,719.812 shares to its partners.
- (4) The share amount reflects that on May 31, 2013, THL Credit Partners GP, L.P. sold its remaining 16,203 shares.
- (5) The reported number includes 289.0815 shares of Common Stock acquired on June 28, 2013 pursuant to a dividend reinvestment plan. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.