Apollo Global Management LLC Form 4

August 14, 2013

## FORM 4

Check this box

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16. Form 4 or

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* Spilker Marc

2. Issuer Name and Ticker or Trading Symbol

Apollo Global Management LLC

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

[APO]

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 08/12/2013

Director 10% Owner Other (specify X\_ Officer (give title

(Check all applicable)

President

C/O APOLLO GLOBAL MANAGEMENT, LLC, 9 WEST 57TH STREET, 43RD FLOOR

(First)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

below)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### NEW YORK, NY 10019

(City)	(State)	(Zip) T	able I - No	n-Derivativ	e Secu	rities Aco	quired, Disposed	of, or Benefic	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactioner Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			Beneficially Form: Owned Direct (D) Following or Indirect	Ownership	Beneficial Ownership	
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Class A Shares	08/12/2013		M	208,333 (1)	A	\$8	3,541,645 (3)	D	
Class A Shares	08/12/2013		F	142,570 (2)	D	\$ 28.02	3,399,075 (3)	D	
Class A Shares	08/12/2013		F	100,256 (4)	D	\$ 28.02	3,298,819 (3)	D	
Class A Shares							26,350	I	By The Marc & Diane

Spilker Foundation

By The Marc A.

26,350

I

Spilker 2000 **Family Trust** 

Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of ionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Share Option	\$ 8 <u>(7)</u>	08/12/2013		M		208,333	12/31/2011(8)	12/31/2016	Class A	208,333

# **Reporting Owners**

Relationships Reporting Owner Name / Address

Director 10% Owner Officer Other

President

(1)

Spilker Marc C/O APOLLO GLOBAL MANAGEMENT, LLC 9 WEST 57TH STREET, 43RD FLOOR NEW YORK, NY 10019

**Signatures** 

(Right to

Buy)

Class A

Shares

/s/ Marc Spilker 08/14/2013

Reporting Person

\*\*Signature of Date

Reporting Owners 2

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person exercised a portion of his share options (the "Options") that were granted to the reporting person pursuant to the (1) Apollo Global Management, LLC 2007 Omnibus Equity Incentive Plan (the "Plan") and, in connection with such exercise, the reporting person received one Class A share in exchange for each share option exercised.
- Represents the number of Class A shares withheld by the issuer at the time of exercise in connection with the satisfaction of the reporting (2) person's (i) obligations for the payment of the exercise price and (ii) tax withholding obligations arising in connection with the exercise of the Options.
- Reported amount includes 1,937,500 restricted share units ("RSUs") granted under the Plan. Each RSU represents the contingent right to receive, in accordance with the issuance schedule set forth in the applicable RSU award agreement, one Class A share for each vested RSU. Subject to accelerated vesting in certain circumstances, the RSUs vest in installments in accordance with the terms of the applicable RSU award agreement, provided the reporting person remains in service through the applicable vesting date.
- (4) Consists of Class A shares withheld by the issuer in order to satisfy the minimum tax withholding obligations of the reporting person arising in connection with the delivery of Class A shares underlying vested RSUs.
- These Class A shares are held by the Marc and Diane Spilker Foundation, a not-for-profit tax exempt organization for which the reporting person and his spouse are trustees with investment power. The reporting person disclaims beneficial ownership of the Class A shares held in the foundation, except to the extent of his pecuniary interest therein.
- These Class A shares are held in The Marc A. Spilker 2000 Family Trust, for the benefit of the reporting person's children, of which one (6) of the reporting person's immediate family members is trustee and has investment power. The reporting person disclaims beneficial ownership of the Class A shares held in the trust, except to the extent of his pecuniary interest therein.
- (7) The conversion price set forth above is on a per share basis.
- Subject to accelerated vesting in certain circumstances, the share option vested and became exercisable with respect to 833,333 shares on December 31, 2011 and, thereafter, continued to vest and become exercisable with respect to the balance of the grant in equal quarterly installments of 208,333 (or 208,334) Class A shares from March 31, 2012 through December 31, 2016, provided the reporting person remains in service through the applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.