Benz James W Form 4 October 24, 2012

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

Form 5

obligations

may continue.

See Instruction

(Print or Type Responses)

1. Name and Address of Reporting Person \* Benz James W

(First)

(Street)

2. Issuer Name and Ticker or Trading

(Middle)

Symbol **GENESEE & WYOMING INC** 

[GWR]

3. Date of Earliest Transaction

(Month/Day/Year) 04/03/2012

4. If Amendment, Date Original Filed(Month/Day/Year)

Issuer

(Check all applicable)

Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify below)

5. Relationship of Reporting Person(s) to

Chief Operating Officer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

GREENWICH, CT 06830

66 FIELD POINT ROAD

(City)	(State)	Zip) Table	e I - No	n-De	erivative S	ecurit	ies Acq	uired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code		4. Securities Acquired n(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
C1 A			Code	V	Amount	(D)	Price	(Instr. 3 and 4)		
Class A Common Stock, \$.01 par value	04/03/2012		G	V	10,000 (1)	D	\$ 0	44,927	D (2)	
Class A Common Stock, \$.01 par value	08/17/2012		G	V	38,504	D	\$0	6,423	D	
Class A Common Stock, \$.01 par value	08/17/2012		G	V	5,850	A	\$ 0	12,273	I (3)	By Trust

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Class A

Common Stock, \$.01 08/17/2012 G V 32,654 A \$ 0 44,927 I  $\frac{(4)}{(4)}$  By Trust

par value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	ive	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. conNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	<b>:</b>	ate	Amou Under Secur	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Derivative	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Benz James W

66 FIELD POINT ROAD Chief Operating Officer GREENWICH, CT 06830

**Signatures** 

Allison M. Fergus, Attorney-in-Fact for James W.
Benz

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were transferred to a Grantor Retained Annuity Trust ("GRAT") established for the benefit of the Reporting Person with remainder interests to the children of the Reporting Person. The Reporting Person is not a trustee of the GRAT.
- (2) 10,850 shares are held in a joint account with spouse.

Reporting Owners 2

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- (3) These shares are held by a trust of which the Reporting Person and his spouse are trustees and beneficiaries.
- (4) These shares are held by another trust of which the Reporting Person and his spouse are trustees and beneficiaries.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.