REDFIELD CARL

Form 4 May 20, 2011

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

response... 0.5

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

**DRIVE** 

(City)

C/O INFINERA

1. Name and Address of Reporting Person \* REDFIELD CARL

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

CORPORATION, 169 JAVA

(Middle)

(Zip)

INFINERA CORP [INFN] 3. Date of Earliest Transaction

(Check all applicable)

(Month/Day/Year) 05/18/2011

X\_ Director 10% Owner Officer (give title Other (specify below)

(Street)

(State)

(First)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SUNNYVALE, CA 94089

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

4. Securities 3. TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned **Following** 

6. Ownership 7. Nature of Form: Direct (D) or Indirect (I) (Instr. 4)

Reported Transaction(s) (Instr. 3 and 4)

or Code V Amount (D) Price

(A)

Common 05/19/2011 Stock

M 5,500 A

89,562

See

Indirect

Beneficial

Ownership

(Instr. 4)

Common Stock

78,872

\$0

Ι

D

Footnote (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**SEC 1474** (9-02)

#### Edgar Filing: REDFIELD CARL - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of Derivative Securities Acquired Disposed (Instr. 3, 4)	(A) or of (D)	6. Date Exerc Expiration D (Month/Day/	ate	7. Title and Ai Underlying Se (Instr. 3 and 4)
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Restricted Stock Units	(2)	05/19/2011		M		5,500	(3)	<u>(3)</u>	Common Stock
Restricted Stock Units	(2)	05/18/2011		A	9,100		<u>(4)</u>	<u>(4)</u>	Common Stock
Non-Qualified Stock Option (Right to Buy)	\$ 7.25	05/18/2011		A	17,600		<u>(5)</u>	05/18/2021	Common Stock
Non-Qualified Stock Option (Right to Buy)	\$ 7.55						<u>(6)</u>	05/19/2020	Common Stock

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Troporting O Wher France, Frances	Director	10% Owner	Officer	Other		
REDFIELD CARL C/O INFINERA CORPORATION 169 JAVA DRIVE SUNNYVALE, CA 94089	X					

### **Signatures**

/s/ Michael O. McCarthy III, by Power of Attorney 05/20/2011

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held directly by the Carl Redfield Trust 2000 dated 10/18/2000 for which the Reporting Person serves as trustee.
- (2) Each restricted stock unit ("RSU") represents a contingent right to receive one share of INFN common stock.
- (3) The RSUs fully vested on May 19, 2011.
- (4) The RSUs fully vest on May 18, 2012.
- (5) The option fully vests on May 18, 2012.

Reporting Owners 2

### Edgar Filing: REDFIELD CARL - Form 4

(6) The option fully vested on May 19, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.