

HARRIS MCCLELLAN III  
 Form 4  
 January 31, 2011

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**HARRIS MCCLELLAN III**

2. Issuer Name and Ticker or Trading Symbol  
**AMERICAN STATES WATER CO [AWR]**

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)  
 Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**Sr. Vice President**

(Last) (First) (Middle)  
**3419 VIA LIDO DRIVE PMB#334**  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
**01/28/2011**

**NEWPORT BEACH, CA 92663**

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/01/2010		A	(A) or (D) V Amount Price 26.6941 (1) \$ 32.44	6,744.1322	D	
Common Stock	06/01/2010		A	(A) or (D) V Amount Price 25.7264 (2) \$ 33.93	6,769.8586	D	
Common Stock	09/01/2010		A	(A) or (D) V Amount Price 25.6514 (3) \$ 34.29	6,795.51	D	
Common Stock	12/01/2010		A	(A) or (D) V Amount Price 23.7857 (4) \$ 37.26	6,819.2957	D	
Common Stock	01/28/2011		F	(A) or (D) V Amount Price 173.131 (5) \$ 34.8	6,646.1647	D	

Edgar Filing: HARRIS MCCLELLAN III - Form 4

Common Stock      01/28/2011      A      801.081<sub>(6)</sub>      A      \$ 0      8,019.839      I      401K

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**      SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HARRIS MCCLELLAN III 3419 VIA LIDO DRIVE PMB#334 NEWPORT BEACH, CA 92663			Sr. Vice President	

## Signatures

/s/ McClellan      01/31/2011  
Harris III

\*\*Signature of Reporting Person      Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) DER units credited on 3/1/2010 as dividend at FMV
  - (2) DER units credited on 6/1/2010 as dividend at FMV
  - (3) DER units credited on 9/1/2010 as dividend at FMV

## Edgar Filing: HARRIS MCCLELLAN III - Form 4

- (4) DER units credited on 12/1/2010 as dividend at FMV
- (5) 411 previously reported RSU's vested on 1/27/2011 of which 173.1310 were withheld to satisfy tax withholding
- (6) Updated 401K employer contributions of Company Stock

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.