Schutz Pamela S Form 4 February 11, 2010

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.
Form 4 or

Section 2 Section 2 Section 3 Section 4 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

See Instruction 1(b).

obligations

may continue.

Form 5

(Print or Type Responses)

Schutz Pamela S

(Last)

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading

Symbol

GENWORTH FINANCIAL INC [GNW]

3. Date of Earliest Transaction

(Month/Day/Year) 02/09/2010

C/O GENWORTH FINANCIAL, INC., 6620 WEST BROAD STREET

RICHMOND, VA 23230

(First)

(Middle)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

**OMB** 

Number:

Expires:

response...

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner \_\_\_\_ X\_\_ Officer (give title \_\_\_\_\_ Other (specify

below) below)

EXECUTIVE VICE PRES - GENWORTH

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting

Person

| (City)                               | (State)                                 | (Zip) Tab   | le I - Non-                            | Derivative               | Secur  | ities Acqui  | ired, Disposed of  | , or Beneficial  | ly Owned  |
|--------------------------------------|---|---|--|--------------------------|--------|--------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | omr Dispos<br>(Instr. 3, | (A) or | (D)          | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Class A<br>Common<br>Stock           | 02/09/2010                              |   | M                                      | 33,334                   | ,      |              | 79,505   | D  |   |
| Class A<br>Common<br>Stock           | 02/09/2010                              |   | D                                      | 18,903                   | D      | \$<br>13.755 | 60,602   | D  |   |
| Class A<br>Common<br>Stock           | 02/09/2010                              |   | F                                      | 4,769                    | D      | \$<br>13.755 | 55,833   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

### Edgar Filing: Schutz Pamela S - Form 4

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |        | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|---|---|---|---|---|---|--------|--|--------------------|---|-------------------------------------|
|   |   |   |   | Code V                                  | (A)   | (D)    | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Settled<br>SARs                            | \$ 7.8  | 02/09/2010                              |   | M                                       |   | 33,334 | 02/02/2010   | 08/19/2019         | Class A<br>Common<br>Stock                                    | 33,334                              |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Schutz Pamela S C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET RICHMOND, VA 23230

**EXECUTIVE VICE PRES - GENWORTH** 

# **Signatures**

/s/ Richard J. Oelhafen, Jr., by power of attorney 02/11/2010

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

#### Remarks:

The net shares received by the reporting person for the exercise of stock settled stock appreciation rights are subject to the issue Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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