#### GENWORTH FINANCIAL INC

Form 4 July 27, 2007

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Expires:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

January 31, 2005

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

Estimated average

**OMB APPROVAL** 

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * MARSICO SAMUEL DOMINICK |                  |               | Symbol                             | and Ticker or Trading       | 5. Relationship of Reporting Person(s) to Issuer |                  |           |  |  |
|---|------------------|---------------|------------------------------------|-----------------------------|--|------------------|-----------|--|--|
|   |                  |               | GENWORTH<br>[GNW]                  | FINANCIAL INC               | (Check all applicable)                           |                  |           |  |  |
| (Last)  | (First)          | (Middle)      | 3. Date of Earlies (Month/Day/Year |                             | DirectorX Officer (give                          |                  |           |  |  |
| C/O GENWORTH FINANCIAL,<br>INC., 6620 WEST BROAD STREET           |                  |               | 07/25/2007                         |                             | below) below) SVP - Chief Risk Officer           |                  |           |  |  |
| INC., 6620  | WEST BROA        | D STREET      |                                    |                             | 571  | Silici Risk Offi | icci      |  |  |
| (Street)  |                  |               | 4. If Amendment,                   | Date Original               | 6. Individual or Joint/Group Filing(Check        |                  |           |  |  |
|   |                  |               | Filed(Month/Day/Y                  | 'ear)                       | Applicable Line)                                 |                  |           |  |  |
| RICHMON   | D, VA 23230      |               |                                    |                             | _X_ Form filed by O<br>Form filed by M<br>Person |                  |           |  |  |
| (City)  | (State)          | (Zip)         | Table I - No                       | n-Derivative Securities Acc | quired, Disposed of                              | f, or Beneficia  | lly Owned |  |  |
| 1.Title of  | 2. Transaction I | Date 2A. Deem | ed 3.                              | 4. Securities Acquired      | 5. Amount of                                     | 6.               | 7. Nature |  |  |
|   |                  |               |                                    |                             |  |                  |           |  |  |

| Table 1 Non Delivative Securities    |   |   |   |                                       |                              | rices ricqu  | ies required, Disposed of, or Deficiently Owned  |  |   |  |  |
|--------------------------------------|---|---|---|---------------------------------------|------------------------------|--------------|--|--|---|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securi<br>on(A) or D<br>(Instr. 3, | ispose<br>4 and<br>(A)<br>or | d of (D)     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Class A<br>Common<br>Stock           | 07/25/2007                              |   | M                                       | 1,957                                 | A                            | (1)          | 11,207   | D  |   |  |  |
| Class A<br>Common<br>Stock           | 07/25/2007                              |   | F                                       | 631                                   | D                            | \$<br>32.315 | 10,576   | D  |   |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  | 8. Pr<br>Deriv<br>Secu<br>(Inst |
|---|---|---|---|---------------------------------------|---|--|--------------------|---|--|---------------------------------|
|   |   |   |   | Code V                                | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                                 |
| Restricted<br>Stock<br>Units                        | <u>(1)</u>  | 07/25/2007                              |   | M                                     | 1,957   | (2)  | (2)                | Class A<br>Common<br>Stock  | 1,957                                  | 9                               |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MARSICO SAMUEL DOMINICK C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET RICHMOND, VA 23230

SVP - Chief Risk Officer

### **Signatures**

/s/ Richard J. Oelhafen, Jr., Attorney-in
-Fact
07/27/2007

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units settle in Class A Common Stock on a 1:1 basis.
- (2) Restricted Stock Units reported on this Form 4 vested and converted to Class A Common Stock on 7/25/2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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