

AXCELIS TECHNOLOGIES INC

Form 3

May 19, 2005

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Halterman Craig M

(Last) (First) (Middle)

108 CHERRY HILL DRIVE

(Street)

BEVERLY, MA 01915

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

05/12/2005

3. Issuer Name and Ticker or Trading Symbol

AXCELIS TECHNOLOGIES INC [ACLS]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Senior VP and CIO

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

3,436.3

D A

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative
Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)Date Exercisable Expiration
Date3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)Title Amount or
Number of4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Right to Buy (Stock Option)	07/10/2004	07/10/2010	Common Stock	30,400	\$ 22	D	Â
Right to Buy (Stock Option)	07/30/2005	01/30/2006	Common Stock	10,000	\$ 14.1	D	Â
Right to Buy (Stock Option)	07/30/2005 ⁽¹⁾	07/30/2011	Common Stock	8,500	\$ 14.1	D	Â
Right to Buy (Stock Option)	07/30/2005 ⁽²⁾	07/30/2011	Common Stock	8,500	\$ 13.2	D	Â
Right to Buy (Stock Option)	06/21/2006 ⁽³⁾	06/21/2012	Common Stock	25,000	\$ 10.28	D	Â
Right to Buy (Stock Option)	06/21/2006 ⁽⁴⁾	06/21/2012	Common Stock	25,000	\$ 5.85	D	Â
Right to Buy (Stock Option)	05/01/2007 ⁽⁵⁾	05/01/2013	Common Stock	7,500	\$ 5.7	D	Â
Right to Buy (Stock Option)	05/01/2007 ⁽⁶⁾	05/01/2013	Common Stock	7,500	\$ 11.48	D	Â
Right to Buy (Stock Option)	06/25/2008 ⁽⁷⁾	06/25/2014	Common Stock	12,500	\$ 11.87	D	Â
Right to Buy (Stock Option)	06/25/2008 ⁽⁸⁾	06/25/2014	Common Stock	12,500	\$ 7.97	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Halterman Craig M 108 CHERRY HILL DRIVE BEVERLY, MA 01915	Â	Â	Â Senior VP and CIO	Â

Signatures

Lynnette C. Fallon, as attorney in fact for Craig M. Halterman 05/19/2005

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Exercisable as to 25% of the shares on each of 7/30/2002, 7/30/2003, 7/30/2004 and 7/30/2005.

(2) Exercisable as to 25% of the shares on each of 7/30/2002, 7/30/2003, 7/30/2004 and 7/30/2005.

(3) Exercisable as to 25% of the shares on 6/21/2003, 6/21/2004, 6/21/2005 and 6/21/2006.

(4) Exercisable as to 25% of the shares on 6/21/2003, 6/21/2004, 6/21/2005 and 6/21/2006.

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- (5) Exercisable as to 25% of the total shares on each of 5/01/2004, 5/01/2005, 5/01/2006 and 5/01/2007.
- (6) Exercisable as to 25% of the total shares on each of 5/01/2004, 5/01/2005, 5/01/2006 and 5/01/2007.
- (7) Exercisable as to 25% of the total shares on each of 6/25/2005, 6/25/2006, 6/25/2007 and 6/25/2008.
- (8) Exercisable as to 25% of the total shares on each of 6/25/2005, 6/25/2006, 6/25/2007 and 6/25/2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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