KESSLER ANN C PHD

Form 4

April 02, 2003

SEC Form 4

## FORM 4

[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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1. Name and Address of Reporting 2. Issuer Name Person' and Ticker or Trading Symbol Kessler, Ann C., Ph.D. 04/02/2003 Spectrum Pharmaceuticals, Inc. (Last) (First) SPPI (Middle) 157 Technology Drive 5. If Amendment, 3. I.R.S. Identification Date of Original Number of Reporting (Street) (Month/Day/Year) Person, if an entity Irvine, CA 92618 (voluntary) (City) (State) (Zip)

- 4. Statement for (Month/Day/Year Person(s) to Issuer
  - (Check all applicable) 2/2003

∑ Director \_ 10% Owner \_ Officer (give title below) \_ Other (specify below)

Description Board of Director

- 7. Individual or Joint/Group Filing (Check Applicable Line)
- X Form filed by One Reporting PersonForm filed by More than One Reporting Person

| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |   |  |   |   |     |       |  |                                  |   |  |  |  |
|--|---|---|--|---|---|-----|-------|--|----------------------------------|---|--|--|--|
| 1. Title of<br>Security<br>(Instr.<br>3)   | 2.Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr.<br>8) |   | 4. Securities Acquired<br>n(A) or Disposed Of (D)<br>(Instr. 3, 4, and 5) |     |       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Owner-ship Form: Direct (D)   | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership |  |  |  |
|  |   |   | Code                                       | ٧ | Amount  | A/D | Price | Transaction(s) I (I) (I)   | or<br>Indirect<br>(I)<br>(Instr. | (Instr. 4)  |  |  |  |

| Derivative sion or Transaction Deemed Transaction Derivative Exercisable(DE) of   |                        |  |                                |   |                    |   |  |   |                              |  |  |  |
|--|------------------------|--|--------------------------------|---|--------------------|---|--|---|------------------------------|--|--|--|
| Derivative Security (Instr. 3) Security (Instr. 3) Price of Deri- (Month/ any Securities any Securities Security (Instr. 8) Securities Securities Acquired (A) or Securities Security (Instr. 3 and 4) (Instr. 3 and 4) |                        |  |                                |   |                    |   |  |   |                              |  |  |  |
| Security Year) (Month/Day/Year) (Instr. 3, 4 and 5) Reported Transactio (Instr.4)  | Derivative<br>Security | sion or<br>Exercise<br>Price of<br>Deri-<br>vative | Transaction Date  (Month/ Day/ | Deemed<br>Execution<br>Date, if<br>any<br>(Month/<br>Day/ | Transactio<br>Code | nDerivative Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 | Exercisable(DE)<br>and<br>Expiration<br>Date(ED) | of Underlying Securities (Instr. 3 and 4) | of<br>Derivative<br>Security | Securities Beneficially Owned Following Reported Transaction(s |  |  |

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|  |        |            | Code | ٧ | А     | D | DE  | ED         | Title           | Amount<br>or<br>Number<br>of<br>Shares |             |
|--|--------|------------|------|---|-------|---|-----|------------|-----------------|--|-------------|
| Option to<br>purchase<br>Common<br>Stock | \$4.75 | 06/17/2002 | А    |   | 2,400 |   | (1) | 06/17/2012 | Common<br>Stock | 2,400                                  | \$<br>2,400 |

**Explanation of Responses:** 

(1) The options become exercisable in increments of 25% commencing on the date of grant, 3 months, 6 months, and 9 months from the date of grant.

By: Date:

<u>/s/ John L. McManus</u> <u>04/02/2003</u>

By Power of Attorney for Ann C. Kessler, Ph.D.

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\*\* Signature of Reporting Person SEC 1474 (9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).