

REPUBLIC BANCORP INC /KY/  
Form 4  
January 11, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
TRAGER STEVE

2. Issuer Name and Ticker or Trading Symbol  
REPUBLIC BANCORP INC /KY/  
[RBCAA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
601 WEST MARKET STREET  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
12/31/2015

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman and CEO

LOUISVILLE, KY 40202

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)   |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |
| Class A Common Stock            |                                      |  |                                |   | 81,276  | D  |   |
| Class A Common Stock            | 12/31/2015                           |  | W                              | V   | 28,449.321 <sup>(3)</sup>   | A  | \$ 0 <sup>(3)</sup>                                     |
|                                 |                                      |  |                                |   | 387,603.577   | I  | By Jaytee Properties Limited Partnership <sup>(1)</sup> |
| Class A Common Stock            | 12/31/2015                           |  | W                              | V   | 1,049,225.277 <sup>(3)</sup>  | A  | \$ 0 <sup>(3)</sup>                                     |
|                                 |                                      |  |                                |   | 5,087,746.551   | I  | By Teebank Family Limited Partnership                   |

|                            |            |                        |   |                        |        |   |   |
|----------------------------|------------|------------------------|---|------------------------|--------|---|---|
| Class A<br>Common<br>Stock | 12/31/2015 | W V 225 <sup>(4)</sup> | A | \$ 0<br><sup>(4)</sup> | 225    | I | (2)<br>By Trager<br>Marital<br>Trust <sup>(4)</sup> |
| Class A<br>Common<br>Stock |            |                        |   |                        | 7,478  | I | By Spouse<br><sup>(5)</sup>                         |
| Class A<br>Common<br>Stock |            |                        |   |                        | 12,085 | I | By 401(k)<br>Plan                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |
|---|--|---|---|--------------------------------------|---|--|---|
|   |  |   |   | Code V (A) (D)                       |   | Date<br>Exercisable Expiration<br>Date Date                    | Title Amount<br>Number<br>Shares                                    |
| Class B<br>Common<br>Stock                          | <sup>(6)</sup>   |   |   |                                      |   | <sup>(7)</sup> <sup>(8)</sup>                                  | Class A<br>Common<br>Stock 17,                                      |
| Class B<br>Common<br>Stock                          | <sup>(6)</sup>   | 12/31/2015                              |   | W V                                  | 6,374.582 <sup>(3)</sup>  | <sup>(7)</sup> <sup>(8)</sup>                                  | Class A<br>Common<br>Stock 86,84                                    |
| Class B<br>Common<br>Stock                          | <sup>(6)</sup>   | 12/31/2015                              |   | W V                                  | 137,569.661<br><sup>(3)</sup>   | <sup>(7)</sup> <sup>(8)</sup>                                  | Class A<br>Common<br>Stock 667,0                                    |
| Class B<br>Common<br>Stock                          | <sup>(6)</sup>   | 12/31/2015                              |   | W V                                  | 671,583 <sup>(4)</sup>  | <sup>(7)</sup> <sup>(8)</sup>                                  | Class A<br>Common<br>Stock 671,5                                    |
| Class B<br>Common<br>Stock                          | <sup>(6)</sup>   |   |   |                                      |   | <sup>(7)</sup> <sup>(8)</sup>                                  | Class A<br>Common<br>Stock 1,2                                      |

## Reporting Owners

| Reporting Owner Name / Address                                 | Relationships |           |                  |       |
|--|---------------|-----------|------------------|-------|
|  | Director      | 10% Owner | Officer          | Other |
| TRAGER STEVE<br>601 WEST MARKET STREET<br>LOUISVILLE, KY 40202 | X             | X         | Chairman and CEO |       |

## Signatures

/s/ Steven E.  
Trager

01/11/2016

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Jaytee Properties Limited Partnership ("Jaytee") is a family limited partnership. The Reporting Person is a co-General Partner of Jaytee. A trust (the "GP Trust") in which the Reporting Person and his mother are co-trustees is the other co-General Partner of Jaytee. The
- (1) Reporting Person is trustee of multiple trusts (the "LP Trusts") for the benefit of the Reporting Person and certain of the Reporting Person's immediate family members. The LP Trusts are limited partners of Jaytee. The Reporting Person disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.
  - (2) Teebank Family Limited Partnership ("Teebank") is a family limited partnership. The Reporting Person and the GP Trust are co-General Partners of Teebank. The LP Trusts are limited partners of Teebank. The Reporting Person disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.
  - (3) On December 31, 2015, Teebank LP units and Jaytee LP units were transferred from a trust for the benefit of the Reporting Person's deceased father to one of the LP Trusts in accordance with the will of the Reporting Person's father. The number of securities reported as acquired reflects the proportionate number of securities attributable to the transferred LP units.
  - (4) On December 31, 2015, 225 shares of the Issuer's Class A Common Stock and 671,583 shares of the Issuer's Class B Common Stock held by the estate of the Reporting Person's father were transferred to Trager Marital Trust in accordance with the will of the Reporting Person's father. The Reporting Person is trustee, and the Reporting Person's mother is a beneficiary, of Trager Marital Trust.
  - (5) The Reporting Person disclaims beneficial ownership of these securities and the report shall not be deemed an admission that the Reporting Person is the beneficial owner of such securities.
  - (6) Class B Common Stock is immediately convertible into Class A Common Stock on a one share for one share basis.
  - (7) Immediate.
  - (8) None.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.