

TCW STRATEGIC INCOME FUND INC
 Form 4
 November 02, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 Rivelle Tad

(Last) (First) (Middle)

TCW STRATEGIC INCOME FUND, INC., 865 S. FIGUEROA STREET, STE. 1800

(Street)

LOS ANGELES, CA 90017

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
 TCW STRATEGIC INCOME FUND INC [TSI]

3. Date of Earliest Transaction (Month/Day/Year)
 01/29/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
 Portfolio Manager

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | | (A) or (D) Code V Amount (D) Price | | | |
| Common Stock | 01/29/2014 | | P | 0 A \$ 0 ⁽¹⁾ | 98,939.7079 | I | Charles Schwab & Co Acct for Chung-Ling K Tuan (spouse) |
| Common Stock | 10/26/2015 | | P | 0 A \$ 0 ⁽¹⁾ | 107,849 | I | Charles Schwab & Co Acct for Chung-Ling |

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K Tuan
(spouse)

| | | | | | | | |
|--------------|------------|---|--------|---|---------------------|---------|---|
| Common Stock | 09/28/2015 | P | 0 | A | \$ 0 ⁽²⁾ | 376,895 | D |
| Common Stock | 10/29/2015 | P | 200 | A | \$ 5.2765 | 396,824 | D |
| Common Stock | 10/29/2015 | P | 100 | A | \$ 5.277 | 396,924 | D |
| Common Stock | 10/29/2015 | P | 3,000 | A | \$ 5.279 | 399,924 | D |
| Common Stock | 10/29/2015 | P | 12,600 | A | \$ 5.28 | 412,524 | D |
| Common Stock | 10/29/2015 | P | 900 | A | \$ 5.286 | 413,424 | D |
| Common Stock | 10/29/2015 | P | 800 | A | \$ 5.2865 | 414,224 | D |
| Common Stock | 10/29/2015 | P | 3,300 | A | \$ 5.29 | 417,524 | D |
| Common Stock | 10/29/2015 | P | 100 | A | \$ 5.295 | 417,624 | D |
| Common Stock | 10/29/2015 | P | 100 | A | \$ 5.2999 | 417,724 | D |
| Common Stock | 10/29/2015 | P | 4,800 | A | \$ 5.3 | 422,524 | D |
| Common stock | 10/30/2015 | P | 8,400 | A | \$ 5.269 | 430,924 | D |
| Common Stock | 10/30/2015 | P | 2,300 | A | \$ 5.2699 | 433,224 | D |
| Common Stock | 10/30/2015 | P | 4,601 | A | \$ 5.27 | 437,825 | D |
| Common Stock | 10/30/2015 | P | 3,009 | A | \$ 5.28 | 440,834 | D |
| Common Stock | 10/30/2015 | P | 5,000 | A | \$ 5.29 | 445,834 | D |
| Common Stock | 10/30/2015 | P | 1,700 | A | \$ 5.3 | 447,534 | D |
| Common Stock | 10/30/2015 | P | 1,900 | A | \$ 5.309 | 449,434 | D |
| Common Stock | 10/30/2015 | P | 6,400 | A | \$ 5.31 | 455,834 | D |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Rivelle Tad
TCW STRATEGIC INCOME FUND, INC.
865 S. FIGUEROA STREET, STE. 1800
LOS ANGELES, CA 90017

Portfolio Manager

Signatures

Patrick Dennis for Tad Rivelle by POA dtd
7/22/13

11/02/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) No transaction occurred in Common Stock owned indirectly by Reporting Person
- (2) No transaction occurred in Common Stock owned directly by Reporting Person

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