**ABIOMED INC** 

Form 4 February 05, 2014

FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

Number:

3235-0287 January 31,

Expires:

2005

0.5

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Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Howley Michael G

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

(Last)

(Middle)

ABIOMED INC [ABMD] 3. Date of Earliest Transaction

(Check all applicable)

(Month/Day/Year)

Director 10% Owner Other (specify X\_ Officer (give title

C/O ABIOMED, INC., 22 CHERRY

(First)

02/03/2014

HILL DRIVE

VP, Global Sales & Marketing

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

DANVERS, MA 01923

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative Se	curities Acquir	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	OID Disposed (Instr. 3, 4 and (Instr. 3)	` '	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$.01 par value	02/03/2014		M	10,000 A	\$ 5.86	78,753	D	
Common Stock, \$.01 par value	02/03/2014		S <u>(4)</u>	10,000 Г	\$ 26.6558 (5)	68,753	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)

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required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	Secu Acqu or D: (D)	rities nired (A) isposed of r. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Option (Right to Buy) (1)	\$ 5.23						03/05/2009(2)	03/05/2019	Common Stock	0
Stock Option (right to buy) (1)	\$ 5.86	02/03/2014		M		10,000	05/28/2010(2)	05/28/2019	Common Stock	10,000
Stock Option (right to buy) (1)	\$ 5.86						03/31/2010(3)	05/28/2019	Common Stock	0
Stock Option (right to buy) (1)	\$ 10.03						06/03/2011(2)	06/03/2020	Common Stock	0
Stock Option (Right to buy) (1)	\$ 22.44						05/22/2013(2)	05/22/2022	Common Stock	0
Stock Option (Right to Buy) (1)	\$ 23.15						05/14/2014(2)	05/14/2024	Common Stock	0

## **Reporting Owners**

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other

Reporting Owners 2

Howley Michael G C/O ABIOMED, INC. 22 CHERRY HILL DRIVE DANVERS, MA 01923

VP, Global Sales & Marketing

### **Signatures**

/s/ Stephen C. McEvoy (by power of attorney)

02/05/2014

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant to reporting person of option to buy the number of shares of common stock set forth on Table II, Column 7, under the Abiomed, Inc. 2008 Stock Incentive Plan.
- (2) These options become exerciseable in annual 25% increments, commencing on the date shown forth in Table II, Column 6.
- (3) These options became exerciseable upon the achievement of a certain performance milestone.
- (4) Sale of common stock pursuant to reporting owner's 10b5-1 plan.
  - This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$26.5300
- (5) and \$27.1000. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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