

MERIT MEDICAL SYSTEMS INC

Form 3

January 09, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Frost Ronald

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

01/01/2014

3. Issuer Name **and** Ticker or Trading Symbol

MERIT MEDICAL SYSTEMS INC [MMSI]

4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)1600 WEST MERIT
PARKWAY

(Street)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other
(give title below) (specify below)

Chief Operating Officer

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person

SOUTH JORDAN,Â UTÂ 84095

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock, No Par Value

15,635

I

By 401(k) plan ⁽¹⁾Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date Exercisable

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)6. Nature of
Indirect Beneficial
Ownership
(Instr. 5)

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		Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Non-qualified stock options (right to buy)	06/10/2004	06/10/2014	Common Stock	2,325	\$ 11.05	D	Â
Non-qualified stock options (right to buy)	06/27/2008 ⁽²⁾	06/27/2014	Common Stock	5,000	\$ 9.7	D	Â
Non-qualified stock options (right to buy)	05/21/2009 ⁽³⁾	05/21/2015	Common Stock	11,250	\$ 11.53	D	Â
Non-qualified stock options (right to buy)	08/11/2012 ⁽⁴⁾	08/11/2018	Common Stock	15,000	\$ 13.75	D	Â
Non-qualified stock options (right to buy)	07/31/2014 ⁽⁵⁾	07/31/2020	Common Stock	10,000	\$ 13.14	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Frost Ronald 1600 WEST MERIT PARKWAY SOUTH JORDAN,Â UTÂ 84095	Â	Â	Â Chief Operating Officer	Â

Signatures

Ronald Frost 01/09/2014

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents plan holdings as of 01/03/2014.

(2) Become exercisable in equal annual installments of 20% commencing 06/27/2008.

(3) Become exercisable in equal annual installments of 20% commencing 05/21/2009.

(4) Become exercisable in equal annual installments of 20% commencing 08/11/2012.

(5) Become exercisable in equal annual installments of 20% commencing 07/31/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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