Zeffiro A Mark Form 4 March 01, 2011

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Zeffiro A Mark

(First) (Middle) (Last)

39400 WOODWARD **AVENUE. SUITE 130** 

(City)

(Street)

2. Issuer Name and Ticker or Trading Symbol

TRIMAS CORP [TRS] 3. Date of Earliest Transaction

(Month/Day/Year) 02/24/2011

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner X\_ Officer (give title Other (specify below) below)

Chief Financial Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

**BLOOMFIELD HILLS, MI 48304** (State)

1. Title of 2. Transaction Date 2A. Deemed

Security (Month/Day/Year) (Instr. 3)

(Zip)

Execution Date, if (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported Transaction(s)

6. Ownership Form: Direct (D) or Indirect Beneficial (I) (Instr. 4)

7. Nature of Indirect Ownership (Instr. 4)

(Instr. 3 and 4)

Code V Amount (D) Price

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number of **Transaction**Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of Underlying Securities (Instr. 3 and 4)

#### Edgar Filing: Zeffiro A Mark - Form 4

(Instr. 3) Price of Derivative Security

(Month/Day/Year) (Instr. 8) Acquired (A) or Disposed of (D)

(Instr. 3, 4, and 5)

Code V (A) (D) Date Exercisable Expiration Date Title

Amoun or Numbe of Shar

21.00

Restricted Stock Unit

\$ 0 (1)

02/24/2011

A 21,000

(2)(3) 09/30/2013<sup>(4)</sup>

Common Stock

## **Reporting Owners**

**Reporting Owner Name / Address** 

Relationships

Director 10% Owner Officer

Other

Zeffiro A Mark

39400 WOODWARD AVENUE SUITE 130

**BLOOMFIELD HILLS, MI 48304** 

Chief Financial Officer

**Signatures** 

/s/ Paula Reno attorney-in-fact

03/01/2011

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive the Fair Market Value of one share of common stock, payable only in shares of common stock.
  - The Restricted Stock Units vest in increments as follows: (a) Upon the Company achieving at least \$ 2.00 of cumulative earnings per share for any consecutive four financial quarters from 4/1/2011 through 9/30/2013 (the "EPS Performance Measure"), 5,250 Restricted
- (2) Stock Units shall vest on the close of the business day immediately following the release of earnings for the quarter in which the EPS Performance Measure is met ("EPS Vesting Date") and 2,625 Restricted Stock Units shall vest on the first anniversary of the EPS Vesting Date and 2,625 Restricted Stock Units shall vest on the second anniversary date of the EPS Vesting Date;
  - (b) Upon the Company's stock price closing at or above \$30.00 per share for 30 consecutive trading days provided such 30th trading day is prior to 9/30/2013, 2,625 Restricted Stock Units shall vest on the close of the business day when such trading threshold is satisfied, 1,312 Restricted Stock Units shall vest on the first anniversary of the satisfaction of such threshold and 1,313 Restricted Stock Units shall vest on the second anniversary of the satisfaction of such threshold; (c) Upon the Company's stock price closing at or above \$35.00 per
- (3) vest on the second anniversary of the satisfaction of such threshold; (c) Upon the Company's stock price closing at or above \$35.00 per share for 30 consecutive trading days provided such 30th trading day is prior to 9/30/2013, 2,625 Restricted Stock Units shall vest on the close of the business day on which such trading threshold is satisfied, 1,312 Restricted Stock Units shall vest on the first anniversary of the satisfaction of such threshold and 1,313 Restricted Stock Units shall vest on the second anniversary of the satisfaction of such threshold.
- (4) Subject to graduated vesting as described in footnote (2), (3) and Section II.A.(1) of the Restricted Stock Unit Agreement dated February 24, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2