LEWIS JONATHAN

Form 4 May 15, 2009

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

0.5

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if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue.

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

**LEWIS JONATHAN** Issuer Symbol ZIOPHARM ONCOLOGY INC (Check all applicable) [ZIOP] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director 10% Owner X\_ Officer (give title \_Other (specify (Month/Day/Year) below) below) 1180 AVENUE OF THE 05/13/2009 **CEO** AMERICAS, 19TH FLOOR

2. Issuer Name and Ticker or Trading

(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_\_ Form filed by More than One Reporting

5. Relationship of Reporting Person(s) to

NEW YORK, NY 10036

value

(City)	(State) (Z	Zip) Table	I - Non-D	erivative Securities Ac	quired, Disposed	of, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$.001 par value					255,000	D	
Common Stock, \$.001 par					450	I	By Children (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	orDe Se Ae Di	ecurities equired ( isposed on tr. 3, 4,	(A) or of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V		(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Stock Option (right to buy)	\$ 0.08							01/08/2007	01/08/2014	Common Stock	25,674
Stock Option (right to buy)	\$ 0.08							01/27/2007	01/27/2014	Common Stock	242,979
Stock Option (right to buy)	\$ 4.31							01/27/2007	06/08/2015	Common Stock	87,789
Stock Option (right to buy)	\$ 4.31							01/27/2007	09/13/2015	Common Stock	54,161
Stock Option (right to buy)	\$ 5.01							04/26/2006	04/26/2016	Common Stock	139,31;
Stock Option (right to buy)	\$ 5.01							04/26/2006	04/26/2016	Common Stock	75,000
Stock Option (right to buy)	\$ 6.49							<u>(1)</u>	12/13/2016	Common Stock	30,000
Stock Option	\$ 4.85							(2)	06/18/2017	Common Stock	35,000

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(right to buy)								
Stock Option (right to buy)	\$ 2.73				(3)	12/12/2017	Common Stock	100,000
Stock Option (right to	\$ 0.7	05/13/2009	A	100,000	<u>(4)</u>	05/13/2019	Common Stock	100,00

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
• 6	Director	10% Owner	Officer	Other		
LEWIS JONATHAN						
1180 AVENUE OF THE AMERICAS, 19TH FLOOR	X		CEO			
NEW YORK, NY 10036						

## **Signatures**

/s/ Jonathan
Lewis

\_\*\*Signature of Reporting Person

O5/14/2009

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 10,000 shares vest on each of 12/13/07, 12/13/08 and 12/13/09.
- (2) 11,667 shares vest on each of 6/18/08 and 6/18/09; 11,666 shares vest on 6/18/10.
- (3) 33,334 shares vest on 12/12/08; 33,333 shares vest on each of 12/12/09 and 12/12/10.
- (4) 25,000 shares vest immediately, 25,000 shares vest on each of 8/13/2009, 11/13/2009 and 2/13/2010.
- (5) By the Reporting Person as custodian for his minor children under the Connecticut Uniform Gifts to Minors Act.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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