

HEMPHILL ROBERT F JR

Form 4

March 02, 2007

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Number: 3235-0287
Expires: January 31,
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Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HEMPHILL ROBERT F JR

(Last) (First) (Middle)

C/O THE AES
CORPORATION, 4300 WILSON
BOULEVARD

(Street)

ARLINGTON, VA 22203

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
AES CORP [AES]3. Date of Earliest Transaction
(Month/Day/Year)
02/28/20074. If Amendment, Date Original
Filed(Month/Day/Year)5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)
Executive VP6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	03/01/2007		S		100 ⁽¹⁾ D \$ 20.19	1,424,308	D
Common Stock	03/01/2007		S		400 ⁽¹⁾ D \$ 20.2	1,423,908	D
Common Stock	03/01/2007		S		500 ⁽¹⁾ D \$ 20.22	1,423,408	D
Common Stock	03/01/2007		S		100 ⁽¹⁾ D \$ 20.23	1,423,308	D
Common Stock	03/01/2007		S		100 ⁽¹⁾ D \$ 20.24	1,423,208	D

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Common Stock	03/01/2007	S	104 <u>(1)</u>	D	\$ 20.25	1,423,104	D
Common Stock	03/01/2007	S	400 <u>(1)</u>	D	\$ 20.27	1,422,704	D
Common Stock	03/01/2007	S	500 <u>(1)</u>	D	\$ 20.28	1,422,204	D
Common Stock	03/01/2007	S	200 <u>(1)</u>	D	\$ 20.29	1,422,004	D
Common Stock	03/01/2007	S	176 <u>(1)</u>	D	\$ 20.3	1,421,828	D
Common Stock	03/01/2007	S	424 <u>(1)</u>	D	\$ 20.31	1,421,404	D
Common Stock	03/01/2007	S	200 <u>(1)</u>	D	\$ 20.32	1,421,204	D
Common Stock	03/01/2007	S	500 <u>(1)</u>	D	\$ 20.34	1,420,704	D
Common Stock	03/01/2007	S	700 <u>(1)</u>	D	\$ 20.35	1,420,004	D
Common Stock	03/01/2007	S	300 <u>(1)</u>	D	\$ 20.36	1,419,704	D
Common Stock	03/01/2007	S	500 <u>(1)</u>	D	\$ 20.37	1,419,204	D
Common Stock	03/01/2007	S	1,800 <u>(1)</u>	D	\$ 20.38	1,417,404	D
Common Stock	03/01/2007	S	2,800 <u>(1)</u>	D	\$ 20.39	1,414,604	D
Common Stock	03/01/2007	S	1,300	D	\$ 20.4	1,413,304	D
Common Stock	03/01/2007	S	2,100	D	\$ 20.41	1,411,204	D
Common Stock	03/01/2007	S	300 <u>(1)</u>	D	\$ 20.42	1,410,904	D
Common Stock	03/01/2007	S	800 <u>(1)</u>	D	\$ 20.5	1,410,104	D
Common Stock	03/01/2007	S	100 <u>(1)</u>	D	\$ 20.51	1,410,004	D
Common Stock	03/01/2007	S	100 <u>(1)</u>	D	\$ 20.52	1,409,904	D
Common Stock	03/01/2007	S	200 <u>(1)</u>	D	\$ 20.53	1,409,704	D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)
				Code	V	(A)	(D)	
Units	(2)	02/28/2007		A		36	(2) (2)	Common Stock 36 \$ 21.32

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HEMPHILL ROBERT F JR C/O THE AES CORPORATION 4300 WILSON BOULEVARD ARLINGTON, VA 22203			Executive VP	

Signatures

Robert F.
Hemphill, Jr. 03/02/2007

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This sale was executed pursuant to a written plan intended to comply with 10b5-1 under the Securities Exchange Act of 1934. Mr. Hemphill's sales plan was adopted on February 6, 2006.
- (2) These are shares awarded pursuant to the Restoration Retirement Plan (the "Plan"). Mr. Hemphill has elected to defer receipt of compensation otherwise payable to him in the form of stock units, the basis of which is determined to be the Company closing stock price

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on the last day of each month. The Plan allows for distribution upon a date(s) specified by the participant in the event of the participant's unforeseeable emergency, death or termination of employment. This amount represents Mr. Hemphill's February 2007 deferral.

Remarks:

This is the first of two Forms 4 filings for Mr. Hemphill's 02/28/2007-03/01/2007 transactions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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