**RBC** Bearings INC Form 4 January 12, 2007

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

January 31, Expires:

**OMB APPROVAL** 

2005

0.5

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response...

subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* HARTNETT MICHAEL J

(First)

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

RBC Bearings INC [ROLL]

(Middle)

(Zip)

3. Date of Earliest Transaction

(Check all applicable) \_X\_\_ Director

\_X\_\_ 10% Owner

**RBC BEARINGS** INCORPORATED, ONE

(Month/Day/Year) 01/11/2007

X\_ Officer (give title below)

Other (specify

TRIBOLOGY CENTER

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

CEO

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

stive Committee Accusin

OXFORD, CT 06478

(City)

(City)	(51410)	Tabl	e I - Non-L	Perivative So	ecuriti	ies Acqu	ured, Disposed of	, or Beneficiall	ly Owned
1.Title of	2. Transaction Date		3.	4. Securitie			5. Amount of	6.	7. Nature of
Security (Instr. 3)	(Month/Day/Year)	Execution Date, if any	Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)			Securities Beneficially	Ownership Form: Direct	Indirect Beneficial	
		(Month/Day/Year)	(Instr. 8)				Owned	(D) or	Ownership
							Following Reported	Indirect (I) (Instr. 4)	(Instr. 4)
					(A) or		Transaction(s)	,	
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	01/11/2007		X	312,500	A	\$ 2.06	355,829 (1)	D	
Common Stock	01/11/2007		X	200,919	A	\$ 0.4	556,748 (1)	D	
Common Stock	01/11/2007		X	23,125	A	\$ 2.06	579,873 <u>(1)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

**SEC 1474** (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	orDeriv Secur Acqu Dispo		Expiration Date		7. Title and Amoun Underlying Securiti (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou Numb Share
Warrants to Purchase Common Stock	\$ 2.06	01/11/2007		X		312,500	06/23/1997	06/24/2007	Common Stock	312,
Warrants to Purchase Common Stock	\$ 0.4	01/11/2007		X		200,919	06/23/1997	06/24/2007	Common Stock	200,
Stock Option for Common Stock	\$ 2.06	01/11/2007		X		23,125	02/18/1998	02/19/2008	Common Stock	23,
Stock Option for Common Stock	\$ 14.5						03/29/2006	08/10/2015	Common Stock	683,
Stock Option for Common Stock	\$ 22.66						07/12/2007(2)	07/12/2013	Common Stock	40,0

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
HARTNETT MICHAEL J	X	X	CEO			
RRC REARINGS INCORPORATED						

Reporting Owners 2

ONE TRIBOLOGY CENTER OXFORD, CT 06478

## **Signatures**

/s/Thomas J. Williams, attorney in fact

01/12/2007

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 10,000 shares of the reported Common Stock are Restricted Shares. The Restrictions lapse in accordance with the following schedule: 1/3 lapse on 7/12/07, 1/3 lapse on 7/12/08 and 1/3 lapse on 7/12/09. Unvested shares of Restricted Stock (i) may be immediately forfeited to the Company at the time the grantee ceases to be an officer or employee of, or otherwise perform services for, the Company or its subsidiaries under certain circumstances or (ii) may vest upon the happening of certain events.
- Options to purchase shares of Common Stock are subject to the following vesting schedule: 1/3 vest on 7/12/07, 1/3 vest on 7/12/08 and 1/3 vest on 7/12/09.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3