Edgar Filing: VICAL INC - Form 4

VICAL INC

| Form 4 August 30, 2 | | | | | | | | | | | |
|--|---|--|----------------|--|--|----------|---|--|--|---|--|
| FORM | ЛЛ | | | | | | | | OMB AF | PROVAL | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | OMMISSION | OMB Number: | 3235-0287 | |
| Check th | | | | U | | | | | Expires: | January 31, | |
| if no lon subject t Section Form 4 o | STATEN 16. or | | | SECU | RITIES | | | ERSHIP OF | Estimated a burden hour response | - | |
| Form 5 obligatio may con <i>See</i> Instr 1(b). | ons Section 17(| a) of the P | Public U | Itility Hol | | pany | Act of 1 | Act of 1934, 1935 or Section | | | |
| (Print or Type | Responses) | | | | | | | | | | |
| SINGER JAMES R Symbol | | | | in manie una mener or maanig | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| | | | | | | | | | | | |
| | | | onth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| PORTLAN | D, ME 04112 | | | | | | - | Form filed by Mo Person | | | |
| (City) | (State) | (Zip) | Tab | le I - Non-l | Derivative S | ecuri | ties Acqui | ired, Disposed of, | or Beneficiall | y Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deeme Execution I any (Month/Da | Date, if | 3. Transactio Code (Instr. 8) | 4. Securitie our Disposed (Instr. 3, 4 | d of (Ê |)) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 08/28/2013 | | | Code V S | Amount 200,000 | (D) D | Price \$ 1.3089 | 10,270,000 | I <u>(1)</u> | By CDEF LLC | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactic Code (Instr. 8) | of Derivativ Securities Acquired (A) or Disposed of (D) | s | ate | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|---|---|--|
| | | | Code V | (Instr. 3, 4, and 5) (A) (D) | Date Exercisable | Expiration Date | Title Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Addres | s | Relationships | | | | | |
|---|------------|---------------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| SINGER JAMES R PO BOX 7020 PORTLAND, ME 04112 | | Х | | | | | |
| Signatures | | | | | | | |
| James R. Singer | 08/30/2013 | | | | | | |
| <u>**</u> Signature of Reporting Person | Date | | | | | | |

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v). *
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- James R. Singer is the sole member of CDEF LLC, a Maine Limited Liability Company. He has voting and investment control over all (1) securities owned by CDEF LLC.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.