

DERRICO GEORGIA S
Form 4
November 02, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
DERRICO GEORGIA S

2. Issuer Name and Ticker or Trading Symbol
Southern National Bancorp of Virginia Inc [SONA]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)
 Director 10% Owner
 Officer (give title below) Other (specify below)
Executive Chairman

(Last) (First) (Middle)
C/O SONA, 6830 OLD DOMINION DRIVE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
10/30/2018

MCLEAN, VA 22101

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V Amount (D) Price			
Common Stock	10/30/2018		P	2,000 A \$ 15.25	459,224 ⁽¹⁾	D	
Common Stock					750 ⁽²⁾	D	
Common Stock					105,375 ⁽³⁾	I	By IRA
Common Stock					45,235 ⁽⁴⁾	I	By IRA
Common Stock					15,137 ⁽⁵⁾	I	By 401k Plan

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 7.04					07/27/2011	07/27/2020	Common Stock	10,000 (6)
Employee Stock Option (Right to Buy)	\$ 7.2					03/21/2012	03/21/2021	Common Stock	40,000 (7)
Employee Stock Option (Right to Buy)	\$ 7.92					12/05/2013	12/05/2022	Common Stock	40,000 (8)
Employee Stock Option (Right to Buy)	\$ 9.14					06/21/2014 ⁽⁹⁾	06/21/2023	Common Stock	40,000 (10)
Employee Stock Option (Right to Buy)	\$ 10.47					07/22/2015 ⁽¹¹⁾	07/22/2024	Common Stock	48,000 (12)
	\$ 11.43					06/19/2016 ⁽¹³⁾	06/19/2025		

Employee Stock Option (Right to Buy)				Common Stock	48,000 <u>(14)</u>
Employee Stock Option (Right to Buy)	\$ 11.99	06/16/2017 ⁽¹⁵⁾	06/16/2026	Common Stock	48,000 <u>(16)</u>

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DERRICO GEORGIA S C/O SONA 6830 OLD DOMINION DRIVE MCLEAN, VA 22101			Executive Chairman	
PORTER R RODERICK C/O SONA 6830 OLD DOMINION DRIVE MCLEAN, VA 22101			Executive Vice Chairman	

Signatures

/s/ Georgia S.
Derrico

11/02/2018

**Signature of
Reporting Person

Date

/s/ R. Roderick
Porter

11/02/2018

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are owned jointly. Georgia S. Derrico and R. Roderick Porter are married.
- (2) These shares are owned by Georgia S. Derrico.
- (3) These shares are held in an IRA owned by Georgia S. Derrico.
- (4) These shares are held in an IRA owned by R. Roderick Porter.
- (5) These shares are held in a 401k plan as follows: 7,542 by Georgia S. Derrico and 7,595 by R. Roderick Porter.
- (6) These options are owned as follows: 5,000 by Georgia S. Derrico and 5,000 by R. Roderick Porter.
- (7) These options are owned as follows: 20,000 by Georgia S. Derrico and 20,000 by R. Roderick Porter.
- (8) These options are owned as follows: 20,000 by Georgia S. Derrico and 20,000 by R. Roderick Porter.

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- (9) These options are exercisable as follows: 8,000 on 6/21/14, 8000 on 6/21/2015, 8000 on 6/21/16, 8000 on 6/21/17, 8000 on 6/21/18.
- (10) These options are owned as follows: 20,000 by Georgia S. Derrico and 20,000 by R. Roderick Porter.
- (11) These options are exercisable as follows: 9600 on 7/22/15, 9600 on 7/22/16, 9600 on 7/22/17, 9600 on 7/22/18, 9600 on 7/22/19
- (12) These options are owned as follows: 24,000 by Georgia S. Derrico and 24,000 by R. Roderick Porter
- (13) These options are exercisable as follows: 9600 on 6/19/16, 9600 on 6/19/17, 9600 on 6/19/18, 9600 on 6/19/19, 9600 on 6/19/20
- (14) These options are owned as follows: 24,000 by Georgia S. Derrico and 24,000 by R. Roderick Porter
- (15) These options are exercisable as follows: 9600 on 6/16/17, 9600 on 6/16/18, 9600 on 6/16/19, 9600 on 6/16/20, 9600 on 6/16/21
- (16) These options are owned as follows: 24,000 y Georgia S. Derrico and 24,000 by R. Roderick Porter

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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